Futures Commission Merchant / Retail Foreign Exchange Dealer	Registered As	DSRO	As of Date	Adjusted Net Capital	Net Capital Requirement	Excess Net Capital	Customers' Assets in Seg	Customers' Seg Required 4d(a)(2)		Target Residual Interest in Seg	Funds in Separate Section 30.7 Accounts		Excess/Deficient Funds in Separate Section 30.7 Accounts			Customer Amount Cleared Swap Seg Required	Excess/Deficient Funds in Cleared Swap Seg Accounts	Target Residual Interest in Cleared Swap Seg	Total Amount of Retail Forex Obligation
	(a)	(b)			(c)	(d)	(e)	(f)	(g)	(h)	(i)	(i)	(k)	(1)	(m)	(n)	(0)	(p)	(q)
1 ABN AMRO CLEARING USA LLC	FCM BD	CBOT	12/31/2023	685,221,398	220,482,201	464,739,197	3,995,870,967	3,453,631,841	542,239,126	350,000,000	166,040,957	131,405,809	34,635,148	15,000,000		0	0	0	0
2 ADM INVESTOR SERVICES INC	FCM	CBOT		423,201,578	287,328,076	135,873,502	7,380,066,733	7,116,267,153	263,799,580	120,000,000	399,056,141	353,548,080	45,508,061	20,000,000	10,426,458	3 0	10,426,458	8,000,000	0
3 ADVANTAGE FUTURES LLC	FCM	CME	12/31/2023	47,222,265	12,004,267	35,217,998	522,130,721	504,674,555	17,456,166	9,000,000	69,325,333	64,905,492	4,419,841	1,000,000		0	0	0	0
4 AMP GLOBAL CLEARING LLC	FCM	NFA	12/31/2023	12,606,919	1,000,000	11,606,919	77,088,843	64,357,830	12,731,013	900,000	1,981,710	1,466,466	515,244	150,000	(0	0	0	0
5 APEX CLEARING CORPORATION 6 BARCLAYS CAPITAL INC	FCM BD FCM BD	NFA NYME	12/31/2023 12/31/2023	496,110,148 7,391,825,096	31,713,245 2 539 124 363	464,396,903 4,852,700,733	215,199,088 17,591,947,063		27,398,462 375,440,137	10,000,000 187,000,000	4,278,954,292	4,035,531,405	243,422,887	81,000,000	15 722 000 257	15,244,733,902	479,146,455	45,000,000	0
7 BITNOMIAL CLEARING LLC	FCM BD	NFA	12/31/2023	1,529,956	1,000,000	529,956	502,901		502,901	100,000	4,270,934,292	4,033,331,403	243,422,007	61,000,000	15,723,880,357	15,244,755,902	4/9,140,433	45,000,000	
8 BNP PARIBAS SECURITIES CORP	FCM BD	CBOT	12/31/2023	2,334,879,589	863,426,234	1,471,453,355	6,718,515,418		734,375,927	570,000,000	1,018,391,621	671,902,509	346,489,112	98,000,000	3,066,190,861	2,903,291,015	162,899,846	135,000,000	Ö
9 BOFA SECURITIES INC	FCM BD	CBOT		19,426,116,832	4,600,873,598	14,825,243,234	30,858,449,324	28,782,456,978	2,075,992,346	200,000,000	5,396,257,287	5,147,551,255	248,706,032	150,000,000	17,672,357,327	17,325,374,807	346,982,520	150,000,000	0
10 CANTOR FITZGERALD & CO	FCM BD	CBOT		393,879,248	4,599,183	389,280,065	5,182,617	0	5,182,617	3,000,000	0	0	0	0	(0	0	0	0
11 CHARLES SCHWAB FUTURES AND FOREX LLC	FCM	NFA		303,619,463	45,135,758	258,483,705	791,573,319	632,295,975	159,277,344	100,000,000	0	0	0	0	(0	0	0	61,297,053
12 CHS HEDGING LLC 13 CITIGROUP GLOBAL MARKETS INC	FCM FCM BD SD	CBOT/NEA		75,544,981 17,733,814,598	11,866,028 4.450.518.833	63,678,953 13,283,295,765	157,207,355 18,096,952,594	95,740,770 17,585,281,188	61,466,585 511,671,406	24,300,000 352,100,000	1,571,075 4,326,443,606	4,007,273,722	1,571,075 319,169,884	200,000 163,100,000	524,666 33,018,172,171		524,666 681.094,474	120,000 466,200,000	1
14 CLEAR STREET LLC	FCM BD	NFA	12/31/2023	603,784,029	37.888.762	565,895,267	10,090,932,394	17,303,201,100	311,0/1,400	332,100,000	4,320,443,000	4,007,273,722	319,109,004	103,100,000	33,010,172,171	1 32,337,077,097	001,094,474	400,200,000	
15 COINBASE FINANCIAL MARKETS INC	FCM	NFA	12/31/2023	19,122,528	1,000,000	18,122,528	10.992.881	3,900,368	7.092.513	5,000,000	0	0	0	0		0	0	0	0
16 CREDIT SUISSE SECURITIES (USA) LLC	FCM BD	CBOT	12/31/2023	6,618,875,248	50,866,114	6,568,009,134	18,737,782		18,737,782	1	6,282,873	0	6,282,873	1	2,457,400	8,843	2,448,557	442	0
17 CUNNINGHAM COMMODITIES LLC	FCM	CBOT	12/31/2023	112,901,307	9,623,119	103,278,188	369,109,104	290,573,777	78,535,327	4,600,000	1,422,221	732,245	689,976	100,000	(0	0	0	0
18 DAIWA CAPITAL MARKETS AMERICA INC	FCM BD	CME	12/31/2023 12/31/2023	1,344,743,036	1,975,090	1,342,767,946	2,130,848	0	2,130,848 149,694,585	1 100 000 000	449,905	0	449,905	1		0	0	0	0
19 DEUTSCHE BANK SECURITIES INC 20 DIRECT ACCESS USA LLC	FCM BD FCM	CBOT		4,279,725,987 18,229,089	200,156,500 3,952,935	4,079,569,487 14,276,154	2,484,542,767 254,290,890	2,334,848,182 245,201,600	149,694,585 9,089,290	100,000,000 2,500,000	765,713,073 2,424,860	664,113,634 676,290	101,599,439 1,748,570	50,000,000 1,000,000	ļ ,) <u> </u>	0	0	0
21 DORMAN TRADING LLC	FCM FCM	CME	12/31/2023	18,229,089	2,909,567	15,623,235	254,290,890 320,830,652		9,089,290 5,213,130	2,500,000	2,424,860	1,789,543	1,748,570	200,000	,) <u>U</u>	0	0	0
22 ETRADE FUTURES LLC	FCM	NFA	12/31/2023	58,291,138	5,451,713	52,839,425	160,337,416	100,998,796	59,338,620	11,000,000	2,572,000	1,765,343	1,132,33/	200,000	1	0	0	0	0
23 FUTU FUTURES INC	FCM	NFA	12/31/2023 12/31/2023	1,959,754	1,000,000	959,754	0	0	0	0	0	0	0	0	<u> </u>	0	0	0	0
24 GAIN CAPITAL GROUP LLC	FCMRFD	NFA	12/31/2023	54,020,318	29,559,338	24,460,980	0	0	0	0	0	0	0	0		0	0	0	201,186,762
25 GH FINANCIALS LLC	FCM PD CD	CME		33,648,675	11,325,486	22,323,189	160,027,058		16,121,844		5,566,552	3,445,003	2,121,549	600,000	00.042.022.23	0	0	0	0
26 GOLDMAN SACHS & CO LLC 27 HIDDEN ROAD PARTNERS CIV US LLC	FCM BD SD FCM	CBOT/NFA	12/31/2023	20,263,060,491 31,533,070	5,177,848,382	15,085,212,109 30,533,070	36,681,826,019 3 162 192	35,698,587,711	983,238,308 3,162,192	775,000,000 3,000,000	11,454,104,272	10,847,725,227	606,379,045	475,000,000	20,942,928,516	20,317,859,614	625,068,902	350,000,000	0
28 HSBC SECURITIES USA INC	FCM BD	CME	12/31/2023	1,309,177,185	475,760,473	833,416,712	4,762,779,519	4.600.737.555	162.041.964	143,000,000	233,344,710	178 501 027	54.843.683	15,000,000	1,777,843,139	1,663,069,693	114,773,446	93.000.000	0
29 IG US LLC	RFED	NFA	12/31/2023	56,390,432	22,229,538	34,160,894	1,702,773,313	0 1,000,737,333	102,011,501	113,000,000	255,511,710	170,301,027	31,013,003	15,000,000	1,777,013,133	0 1,005,005,055	111,773,110	35,000,000	54,590,762
30 INTERACTIVE BROKERS LLC	FCM BD	CME	12/31/2023	7,319,359,731	823,068,325	6,496,291,406	7,404,340,585	7,190,680,402	213,660,183	155,000,000	781,504,254	644,618,888	136,885,366	80,000,000	i c	0	0	0	31,086,898
31 IRONBEAM INC	FCM	CME	12/31/2023	9,074,570	1,308,217	7,766,353	104,987,477	93,380,005	11,607,472	1,600,000	847,528	602,622	244,906	60,000	(0	0	0	0
32 JEFFERIES LLC	FCM BD	NFA	12/31/2023	982,127,641	112,510,060	869,617,581	42.000.000.754	0	0	0	0	0	0	0 100 007 007	(0 0000000000000000000000000000000000000	0	0	0
33 JP MORGAN SECURITIES LLC 34 LIME TRADING CORP	FCM BD SD FCM BD	CEI/NFA NFA			5,346,401,017	22,518,108,218	42,066,956,754	40,964,437,612	1,102,519,142	778,324,315	7,406,828,971 40.010	6,919,912,118	486,916,853 40.010	186,837,627	24,163,135,570	23,093,247,062	1,069,888,508	715,890,659	<u> </u>
35 MACQUARIE FUTURES USA LLC	FCM	CBOT	12/31/2023	10,232,470 512,887,362	1,000,000 399,680,822	9,232,470 113,206,540	46,093 4,586,174,175	4,392,233,819	46,093 193,940,356	20,000 100,000,000	386,070,210	340,020,717	46,049,493	20,000 7,000,000	5.180.383	8 0	5,180,383	1.000.000	0
36 MAREX CAPITAL MARKETS INC	FCM BD SD	CME/NFA		572,455,365	291,535,045	280,920,320	6,904,036,414	6,739,406,457	164,629,957	90,000,000	193,807,848	162,458,345	31,349,503	12,000,000		0 0	0,100,303	1,000,000	0
37 MAREX NORTH AMERICA LLC	FCM	NFA	12/31/2023	3,867,295	1,000,000	2,867,295	806		806		191	0	191	1	. (0	0	0	0
38 MCVEAN TRADING & INVESTMENTS LLC	FCM	NFA	12/31/2023	13,302,247	1,494,630	11,807,617	440,005,090	415,222,648	24,782,442	18,000,000	2,733,182	0	2,733,182	1,000,000		0	0	0	0
39 MFI FUNDING LLC 40 MID CO COMMODITIES INC	FCM FCM	NFA NFA	12/31/2023 12/31/2023	109,137,856 16,380,499	1,000,000 4,175,159	108,137,856 12,205,340	48.667.431	31,809,023	16.858.408	5.138.000	0	0	0	0		0	0	0	0
41 MINT BROKERS	FCM BD	NFA	12/31/2023	2,228,883	1,000,000	1,228,883	48,007,431	31,809,023	10,858,408	5,138,000	0	0	0	0		0	0	0	<u> </u>
42 MIZUHO SECURITIES USA LLC	FCM BD	CME		1,553,630,374	560,237,591	993,392,783	10.520.613.027	10.064.478.596	456,134,431	150,000,000	1,929,706,283	1.726.802.102	202,904,181	70,000,000	21 498 366	5 0	21,498,366	10,000,000	0
43 MORGAN STANLEY & CO LLC	FCM BD SD	CME/NFA	12/31/2023	18,120,974,852	4,445,421,656	13,675,553,196	27,688,480,382	27,128,995,961	559,484,421	235,000,000	9,114,878,177	8,881,754,444	233,123,733	140,000,000	28,848,127,653	28,537,111,396	311,016,257	92,000,000	0
44 NANHUA USA LLC	FCM		12/31/2023	36,437,191	17,586,781	18,850,410	403,027,669	371,589,554	31,438,115	3,500,000	357,395	1,092	356,303	100,000	(0	0	0	0
45 NATWEST MARKETS SECURITIES INC	FCM BD	CBOT		613,800,462	2,814,670	610,985,792	7,503,655	0	7,503,655	5,000,000	0	0	0	0	(0	0	0	0
46 NINJATRADER CLEARING LLC 47 NOMURA SECURITIES INTERNATIONAL INC	FCM FCM BD	NFA	12/31/2023	12,669,806	1,000,000	11,669,806	244,395,080	227,511,959	16,883,121	4,000,000	2,896,621	1,487,536	1,409,085	400,000		0	0	0	0
48 OANDA CORPORATION	FCM BD FCMRFD	CBOT NFA	12/31/2023 12/31/2023	1,833,233,606 45.045.266	180,735,947 28,131,515	1,652,497,659 16,913,751	142,621,672	100,717,699	41,903,973	15,000,000	1,250,000	0	1,250,000	1,000,000		0	0	0	166,781,795
49 PHILLIP CAPITAL INC	FCM BD	CME	12/31/2023	103,755,359	40,438,989	63,316,370	865,061,608	821,284,173	43,777,435	15,500,000	19,112,612	13.913.474	5,199,138	1,000,000	7) 0	n	0	100,701,795
50 PICTET OVERSEAS INC						41,932,611			5,839,152		40,574,409		3,658,186			0	0	0	0
51 RBC CAPITAL MARKETS LLC	FCM BD	CME	12/31/2023 12/31/2023 12/31/2023	2,520,426,431	8,471,982 513,016,610	2,007,409,821	109,503,258 3,972,080,776	3,560,651,405	411,429,371	1,500,000 280,000,000	466,523,259	36,916,223 355,656,951	110,866,308	900,000 20,000,000	2,052,796,519	1,692,302,507	360,494,012	120,000,000	Ō
52 RJ OBRIEN ASSOCIATES LLC					249,886,777	95,917,896	6,035,504,514		254,520,789	50,000,000	275,372,774	240,098,393	35,274,381	20,000,000		0	0	0	0
53 SANTANDER US CAPITAL MARKETS LLC 54 SCOTIA CAPITAL USA INC	FCM BD FCM BD	NYME CME	12/31/2023 12/31/2023	603,762,495 1.389,701,828	165,594,514 126,584,112	438,167,981 1,263,117,716	2,268,166,475	2,195,040,183	73,126,292	70,000,000	0	0	0	0	1 - 2) v	0	0	, Š
55 SG AMERICAS SECURITIES LLC	FCM BD	CME	12/31/2023	5,316,220,095	1,890,925,503	3,425,294,592	18,434,287,826	17,925,284,120	509,003,706	200,000,000	7,846,651,694	7,445,425,000	401,226,694	200,000,000	1,687,108,834	1,464,975,821	222,133,013	80,000,000	0
56 STONEX FINANCIAL INC	FCM BD	CME	12/31/2023	388,966,718	227,925,668	161,041,050	6,026,995,970	5,952,624,391	74,371,579	40,000,000	246,399,101	231,411,150	14,987,951	6,000,000		0 1,101,573,021	0	00,000,000	0
57 STRAITS FINANCIAL LLC	FCM	CME		34,632,440	11,797,054	22,835,386	565,459,091	550,670,888	14,788,203	2,000,000	27,362,941	16,320,526	11,042,415	300,000		00	0	0	0
58 TRADESTATION SECURITIES INC	FCM BD	CME	12/31/2023	78,271,980	7,299,174 20,000,000	70,972,806	990,210,934		69,253,066	9,000,000	21,197,751	16,950,015	4,247,736	800,000		0	0	0	0
59 TRADING.COM MARKETS INC	RFED	NFA		25,846,531	20,000,000	5,846,531	0	0	0	0	0	0	0 770	0	9	0	0	0	1,291,996
60 UBS FINANCIAL SERVICES INC 61 UBS SECURITIES LLC	FCM BD FCM BD		12/31/2023 12/31/2023	1,217,142,157 4,626,767,163	138,404,286 968,573,392	1,078,737,871 3,658,193,771	224,924,852 7,017,015,466	148,432,979 6,613,156,314	76,491,873 403,859,152	35,000,000 150,000,000	37,746,921 2,079,127,258	6,008,742 1,937,455,796	31,738,179 141,671,462	15,000,000 75,000,000		1,200,159,936	205,650,743	125,000,000	
62 WEDBUSH SECURITIES INC	FCM BD	CBOT		249.610.280	80.313.645	169,296,635	3,953,037,195	3.915.683.058	403,859,152 37,354,137	19,000,000	42,299,442	36.622.541	5.676.901	1.000.000	1,405,810,679	1,200,159,936	205,650,743	125,000,000	0
63 WELLS FARGO SECURITIES ILC	FCM BD	CME			1,777,908,398	7,500,543,416	7,059,542,232	6,507,597,021	551,945,211	115,000,000	475,223,391	366,989,986	108,233,405	30,000,000	16.977.117.909	16,225,874,146	751,243,763	285,000,000	0
Totals							289,723,100,578	278,074,018,024	11,649,082,554	5,526,182,318	59,528,784,791	55,491,994,368	4,036,790,423	1,938,767,630	167,375,556,808	162,005,086,439	5,370,470,369	2,676,211,101	516,235,266
November Web Page Update	62																		
Additions		1	1	1			1							1					+
CLEAR STREET LLC	-	-		-			-	-						-					
CLEAR STREET LLC	1		1	1			1	1						1	1	1			
Deletions		†		1			1	1						1	1				
None																			
Name Changes None			+							1						1			<u> </u>
December Web Page Undate	63																		
December Web Page Update	03	1	1	1	1		1	1	1	1			l	1	1	1		1	

SELECTED FCM FINANCIAL DATA AS OF December 31, 2023 FROM REPORTS FILED BY February 5, 2024

(a): FCM: Futures Commission Merchant that is registered with the Commodity	Futures Trading Commission.							
BD: The FCM is also registered with the Securities and Exchange Commiss	ion as a securities broker or dealer.							
RFED: Retail Foreign Exchange Dealer that is registered with the Commod	ity Futures Trading Commission.							
FCMRFD: The FCM is also registered with the Commodity Futures Trading	Commission as a Retail Foreign Exchange Dealer.							
SD: The FCM or RFED is also registered with the Commodity Futures Tradi								
(b): DSRO: Designated Self-Regulatory Organization. FCM/RFED firms that are	dually registered as SDs have two DSROs listed if they have a different DS	RO for the ECM/REED and the SD. The D	SRO for the ECM/REED is listed first and the D	ISRO for the SD is listed second				
(5)1 Dortor Designated Self-Regulatory Organizations 1 Griffit ED Initio diactare	dually registered as 555 have two 55/to5 listed in they have a different 55	ito for the field you as did the soli fine s	Site for the ferrifit EB is instead in section the B	Site for the SB is listed second.				
(c): A firm's net capital requirement is the greater of:								
(c). A filling flet capital requirement is the greater of.								-
FCM minimum dollar amount (\$1,000,000); or								-
risk based capital requirement, the sum of 8% of total customer risk maintena	nee margin and 90/ of total non gustomer righ maintenance margin, or							
the amount of capital required by a registered futures association (currently N	EA is the only such association): or							
for securities brokers and dealers, the amount of net capital required by Rule				+ + + + + + + + + + + + + + + + + + + +	1	+		
Tot securities provers and dealers, the amount of fiel capital required by Rule minimum dellar amount for ECM's affering or anguaged in retail force transpetie	and DEEDs (#20.000.000); or		+					
minimum dollar amount for FCM's offering or engaged in retail forex transactic minimum dollar amount for FCM's offering or engaged in retail forex transactic	one and DEEDs (\$20,000,000), of	I rotal force obligation in excess of \$10.0	00.000					
Illiminum dollar amount for FCPTs offering of engaged in retail forex transaction	ons and Ki LDS (\$20,000,000) plus live percent of the FCH'S of Ki LD's total	Tretail forex obligation in excess or \$10,0	00,000.					
	<u> </u>							
(d): Excess net capital is adjusted net capital, less the firm's net capital requiren	nent.							
() 71:		5 11 41 611 6 11 5 1						
(e): This represents the total amount of money, securities, and property held in	segregated accounts for futures and options customers in compliance with	Section 4d of the Commodity Exchange	ACT.					
(6 = 1								
(f): This represents the total amount of funds that an FCM is required to segreg	ate on behalf of customers who are trading on a designated contract mark	et or derivatives transaction execution fac	cility. This is the sum of all accounts that cont	ain a net liquidating equity.				
(g): Excess/Deficient funds in segregation is customer assets in segregation, les	s the customer segregation requirement.							
(h): This represents the targeted excess of proprietary funds deposited into cust	tomer segregated accounts above the customer segregation requirement.	This target amount is set by the firm.						
(i): This represents the total amount of money, securities, and property held in	secured accounts for futures and options customers who trade on common	lity exchanges located outside the United	States in compliance with Part 30 of the Comr	nodity Exchange Act.				
(j): This represents the amount of funds an FCM is required to set aside for cust	comers who trade on commodity exchanges located outside of the United !	States.						
(k): Excess/Deficient funds in separate Section 30.7 accounts is funds in separate	te Section 30.7 accounts, less the customer amount Part 30 requirement.							
 This represents the targeted excess of proprietary funds deposited into sepa 	rate Section 30.7 accounts above the customer amount Part 30 requirement	nt. This target amount is set by the firm.					-	
(m): This represents the total amount of money, securities, and property held in	cleared swap customer accounts for cleared swap customers in complian	ce with Section 4d(f) of the Commodity Ex	change Act.					
(n): This represents the amount of funds an FCM is required to segregate for cu	stomers who trade cleared swaps.							
							j	
(o): Excess/Deficient funds in cleared swap customer accounts is funds in separ	ate cleared swap segregation accounts, less the cleared swap customer se	gregation requirement.						
(p): This represents the targeted excess of proprietary funds deposited into seg	parate cleared swap segregation accounts above the cleared swap custome	r segregation requirement. This target a	mount is set by the firm.					
Telegraphic and an action of proprietary rando deposited into sep	and the state of t	Tage of the second seco						
(a). This represents the total amount of funds at an ECM DEED, or ECMDED tha	it would be obtained by combining all money, securities and property deno	sited by a retail forey customer into a ret	ail forex account or accounts, adjusted for the	realized and unrealized net profit or loss				