Futures Commission Merchant / Retail Foreign Exchange Dealer	Registered As	DSRO	As of Date	Adjusted Net Capital	Net Capital Requirement	Excess Net Capital	Customers' Assets in Seg	Customers' Seg Required 4d(a)(2)		Target Residual Interest in Seg	Funds in Separate Section 30.7 Accounts	Customer Amount Pt. 30 Required				Customer Amount Cleared Swap Seg Required	Excess/Deficient Funds in Cleared Swap Seg Accounts	Target Residual Interest in Cleared Swap Seg	Total Amount of Retail Forex Obligation
	(a)	(b)			(c)	(d)	(e)	(f)	(g)	(h)	(i)	(i)	(k)	(1)	(m)	(n)	(0)	(p)	(p)
1 ABN AMRO CLEARING USA LLC	FCM BD	CBOT	11/30/2023	678,861,906	235,595,081	443,266,825	4,223,063,410	3,638,666,462	584,396,948	350,000,000	159,095,601	121,138,897	37,956,704	15,000,000) (0	0	0	0
2 ADM INVESTOR SERVICES INC	FCM	CBOT	11/30/2023	506,684,719	287,046,351	219,638,368	7,495,641,889	7,174,901,780	320,740,109	120,000,000	390,653,846	345,238,657	45,415,189	20,000,000		9 0	10,384,719	8,000,000	0
3 ADVANTAGE FUTURES LLC	FCM FCM	CME NFA	11/30/2023	36,139,114	15,391,441	20,747,673	511,809,045	494,978,209 65,179,706	16,830,836 12,674,796		59,581,605	55,282,274 1,598,440	4,299,331	1,000,000		0	0	0	0
4 AMP GLOBAL CLEARING LLC 5 APEX CLEARING CORPORATION	FCM BD	NFA NFA	11/30/2023 11/30/2023	12,314,319 461,266,665	1,000,000 34,356,002	11,314,319 426,910,663	77,854,502 214,602,409	189,829,771	24,772,638	10,000,000	1,979,307	1,598,440	380,867	150,000) (0	0	0	0
6 BARCLAYS CAPITAL INC	FCM BD		11/30/2023	6,077,912,770	2,453,115,281	3,624,797,489	17,176,526,390		357,629,102	187,000,000	4,233,734,606	4,006,344,685	227,389,921	81,000,000	14,105,723,988	13,613,808,867	491,915,121	45,000,000	0
7 BITNOMIAL CLEARING LLC	FCM	NFA	11/30/2023	1,525,111	1,000,000	525,111	500,986	0	500,986	100,000	0	0	0	0) (0	0	0	0
8 BNP PARIBAS SECURITIES CORP	FCM BD	CBOT		2,413,408,140	818,993,831	1,594,414,309	6,538,153,511	5,841,832,955	696,320,556	570,000,000	818,424,066	535,044,465	283,379,601	98,000,000			164,998,447	135,000,000	0
9 BOFA SECURITIES INC 10 CANTOR FITZGERALD & CO	FCM BD FCM BD	CBOT	11/30/2023 11/30/2023	22,355,064,171 396,855,856	4,322,155,957 1,500,000	18,032,908,214 395,355,856	29,483,576,916 5,159,829	28,157,167,691	1,326,409,225 5,159,829	200,000,000	5,041,488,071	4,787,768,293	253,719,778	150,000,000	18,078,101,964	17,742,432,081	335,669,883	150,000,000	0
11 CHARLES SCHWAB FUTURES AND FOREX LLC	FCM	NFA		295,665,034	48,238,210	247,426,824	773,148,063	609,469,191	163.678.872	100,000,000	0	0	0	0) (0 0	0	0	62,387,912
12 CHS HEDGING LLC	FCM	CBOT	11/30/2023	74,548,043	13,280,272	61,267,771	174,081,128	110,951,133	63,129,995	24,300,000	1,566,745	0	1,566,745	200,000			523,220	120,000	0
13 CITIGROUP GLOBAL MARKETS INC	FCM BD SD	CBOT/NFA	11/30/2023	17,285,075,665	4,874,939,708	12,410,135,957	16,644,121,679	16,105,955,153	538,166,526	349,300,000	4,676,653,709	4,124,297,025	552,356,684	159,600,000	34,445,077,652	33,762,913,520	682,164,132	471,800,000	0
14 COINBASE FINANCIAL MARKETS INC 15 CREDIT SUISSE SECURITIES (USA) LLC	FCM BD	NFA CBOT	11/30/2023 11/30/2023	19,112,078 7.268,944,319	1,000,000 50.186.400	18,112,078 7,218,757,919	9,193,240 22,603,709	2,143,385	7,049,855 22,603,709	5,000,000	6,609,063	0	6,609,063	0	2.450.879	0 8 438	2.442.441	422	0
16 CUNNINGHAM COMMODITIES LLC	FCM BD	CBOT	11/30/2023	87,569,129	9,210,574	78,358,555	363,098,618		79,312,788	4,600,000	1,244,041	560.793	683,248	100,000		0,430	2,442,441	922	0
17 DAIWA CAPITAL MARKETS AMERICA INC	FCM BD	CME	11/30/2023	1,324,483,389	2,666,582	1,321,816,807	2,124,502	0	2,124,502	1	443,242	0	443,242	1	i	0	0	0	0
18 DEUTSCHE BANK SECURITIES INC	FCM BD	CBOT	11/30/2023	4,066,862,723	202,328,809 5,008,983	3,864,533,914	2,278,106,972	2,125,197,477 252,160,473	152,909,495 7,501,601	100,000,000	756,294,050	659,893,656	96,400,394	50,000,000	0	0	0	0	0
19 DIRECT ACCESS USA LLC 20 DORMAN TRADING LLC	FCM FCM	CME CME	11/30/2023 11/30/2023	18,213,276 19,376,633	5,008,983 3,724,371	13,204,293 15.652,262	259,662,074 337,941,006	252,160,473 332,048,804	7,501,601 5,892,202	2,500,000	2,213,912 2,942,570	471,784 1,868,843	1,742,128 1.073,727	1,000,000		0	0	0	0
21 ETRADE FUTURES LLC	FCM	NFA	11/30/2023	59,124,102	6,372,844	52,751,258	164,923,404		58,332,519	11,000,000	2,342,570 N	1,000,843 N	1,0/3,/2/ N	200,000		0	0	0	<u> </u>
22 FUTU FUTURES INC	FCM	NFA	11/30/2023 11/30/2023	1 965 951	1,000,000	965,951	0 1,525,101	0	30,332,313	0	0	0	0		<u> </u>	0	0	0	0
23 GAIN CAPITAL GROUP LLC	FCMRFD	NFA	11/30/2023	52,784,151	29,471,515	23,312,636	0	0	- (0	0	0	0	0	0	0	0	0	199,430,306
24 GH FINANCIALS LLC	FCM FCM BD SD	CME CROT/NEA		33,745,474	13,803,090 5,012,544,057	19,942,384	179,766,488	163,057,433 33,638,849,633	16,709,055		8,691,361	6,597,337	2,094,024	600,000 475,000,000		0 20.200 670 670	612.215.672	350,000,000	0
25 GOLDMAN SACHS & CO LLC 26 HIDDEN ROAD PARTNERS CIV US LLC	FCM BD SD FCM	CBOT/NFA CME	11/30/2023 11/30/2023	21,170,453,427 31,473,568	1,000,000	16,157,909,370 30,473,568	34,645,365,922 3,150,152	33,638,849,633	1,006,516,289 3,150,152	775,000,000	10,831,934,017	10,238,988,866	592,945,151	4/5,000,000	20,918,994,629	20,306,678,959	612,315,670	350,000,000	0
27 HSBC SECURITIES USA INC	FCM BD	CME	11/30/2023	1,217,137,464	475,029,520	742,107,944	4,654,148,259	4,506,417,118	147,731,141	127,000,000	198,098,312	167,367,349	30,730,963	15,000,000	1.886.198.345	1,772,810,847	113,387,498	97,000,000	0
28 IG US LLC	RFED	NFA	11/30/2023	55,903,725	22,336,667	33,567,058	0	0	, , ,	0	0	0	0	0) (0	0	0	56,733,349
29 INTERACTIVE BROKERS LLC	FCM BD	CME		7,549,177,357	802,372,618	6,746,804,739	7,300,533,353		211,706,067	155,000,000	764,048,777	634,804,115	129,244,662	80,000,000		0	0	0	32,469,562
30 IRONBEAM INC 31 JEFFERIES LLC	FCM FCM BD	CME NFA	11/30/2023 11/30/2023	9,031,720 1,088,816,742	1,734,193 108,229,394	7,297,527 980,587,348	104,340,357	92,895,436	11,444,921	1,600,000	809,931	549,701	260,230	60,000) (0	0	0	0
32 JP MORGAN SECURITIES LLC	FCM BD SD	CEI/NFA	11/30/2023	27,965,212,093	5,280,782,959	22,684,429,134	40,904,220,454	39,889,473,379	1,014,747,075	757,899,994	7,482,213,997	6,398,049,172	1,084,164,825	172,747,328	23,181,935,553	22,185,928,835	996,006,718	687,763,794	0
33 LIME TRADING CORP	FCM BD	NFA	11/30/2023	10,165,645	1,000,000	9,165,645	46,093	0	46,093	20,000	40,010	0	40,010	20,000) (0	0	0	0
34 MACQUARIE FUTURES USA LLC	FCM FCM	CBOT	11/30/2023	562,350,899	388,139,656	174,211,243	4,412,912,309	4,220,798,542	192,113,767	100,000,000	351,764,400	306,067,378	45,697,022	7,000,000	5,179,639	9 0	5,179,639	1,000,000	0
35 MAREX CAPITAL MARKETS INC 36 MAREX NORTH AMERICA LLC	FCM BD SD FCM	NFA	11/30/2023 11/30/2023	584,245,170 2,056,163	312,627,984 1.000.000	271,617,186 1,056,163	6,907,040,371 251,059	6,747,089,598	159,950,773 251.059	90,000,000	205,639,683 50,212	169,762,650	35,877,033 50,212	12,000,000		0	0	0	0
37 MCVEAN TRADING & INVESTMENTS LLC	FCM	NFA		13,211,828	1,126,688	12,085,140	435,450,821	412,174,933	23,275,888	18,000,000	2,722,019	0	2,722,019	1,000,000		0	0	0	0
38 MFI FUNDING LLC	FCM	NFA	11/30/2023	108,586,361	1.000.000	107,586,361	0	0	(0	0	0	0	0	0	0	0	0	0
39 MID CO COMMODITIES INC 40 MINT BROKERS	FCM FCM BD	NFA NFA	11/30/2023 11/30/2023	17,073,081 2,208,892	4,887,301 1,000,000	12,185,780 1,208,892	53,468,953	36,339,951	17,129,002	5,138,000	0	0	0	0	0	0	0	0	0
41 MIZUHO SECURITIES USA LLC	FCM BD	CME		1,459,978,811	504,264,111	955,714,700	10,304,244,725	9,856,375,596	447,869,129	150,000,000	1,725,052,969	1,520,554,707	204,498,262	70,000,000	21,422,520	0 0	21,422,520	10,000,000	0
42 MORGAN STANLEY & CO LLC	FCM BD SD		11/30/2023	18,539,040,138	4,280,012,947	14,259,027,191	26,619,353,482	25,866,415,235	752,938,247	235,000,000	8,757,569,755	8,400,423,037	357,146,718	140,000,000			313,267,745	92,000,000	0
43 NANHUA USA LLC	FCM	CME	11/30/2023	36,740,735	23,028,279	13,712,456	392,712,405	359,845,451	32,866,954	3,500,000	357,395	1,092	356,303	100,000	(0	0	0	0
44 NATWEST MARKETS SECURITIES INC	FCM BD		11/30/2023	584,537,239	4,862,211	579,675,028	7,503,655	0	7,503,655		0	0	0	0) (0	0	0	0
45 NINJATRADER CLEARING LLC 46 NOMURA SECURITIES INTERNATIONAL INC	FCM FCM BD	CBOT	11/30/2023 11/30/2023	20,114,258 1,796,403,989	1,000,000 161,196,265	19,114,258 1,635,207,724	254,526,322 137,391,723	230,268,734 96,178,653	24,257,588 41,213,070	4,000,000 15,000,000	2,968,067 1,250,000	1,669,929	1,298,138 1,250,000	400,000 1,000,000		0	0	0	0
47 OANDA CORPORATION	FCMRFD	NFA	11/30/2023	42,857,190	28,008,893	14,848,297	0	0	(0	0	0	0	0	0	0	0	0	165,839,818
48 PHILLIP CAPITAL INC	FCM BD	CME	11/30/2023	100,224,371	40,762,935	59,461,436	853,858,425		55,348,913		19,271,325	15,017,737	4,253,588	1,000,000		0	0	0	0
49 PICTET OVERSEAS INC	FCM BD	NFA	11/30/2023	50,105,303	8,769,050	41,336,253	112,399,948		5,765,792	1,500,000	39,486,040	35,908,905	3,577,135	900,000		0 0	0	0	0
50 RBC CAPITAL MARKETS LLC 51 RJ OBRIEN ASSOCIATES LLC	FCM BD FCM SD	CME/NEA	11/30/2023 11/30/2023	2,148,135,189 331,462,347	456,141,877 242,001,820	1,691,993,312 89,460,527	4,033,316,135 6,135,320,630	3,610,948,949 5,877,568,739	422,367,186 257 751 891	50,000,000	358,598,533 266,245,176	268,859,913 235,939,461	89,738,620 30,305,715	20,000,000 20,000,000	2,017,209,333	1,680,102,960	337,106,373	120,000,000	0
52 SANTANDER US CAPITAL MARKETS LLC	FCM BD	NYME	11/30/2023	501,987,149	167,827,201	334,159,948	2,198,958,795	2,126,045,583	422,367,186 257,751,891 72,913,212	50,000,000	0	0	0	0	0 0	0		0	0
53 SCOTIA CAPITAL USA INC	FCM BD	CME		1,339,701,271	134,991,896	1,204,709,375		0	0	0	0	0	0)	0		0	0
54 SG AMERICAS SECURITIES LLC 55 STONEX FINANCIAL INC	FCM BD FCM BD	CME CME	11/30/2023	5,354,262,565 360,229,840	1,876,786,036 218,991,083	3,477,476,529 141,238,757	18,275,270,201 5,896,440,835	17,777,089,784 5,822,346,271	498,180,417 74,094,564	200,000,000	8,126,270,434 261,974,205	7,725,863,357 245,976,827	400,407,077 15,997,378	200,000,000 6,000,000	1,453,022,013	1,231,499,946	221,522,067	80,000,000	0
56 STRAITS FINANCIAL LLC	FCM BD	CME	11/30/2023	360,229,8 4 0 35,747,597	16,358,091	141,238,757	5,896, 44 0,835 561,073,671	5,822,346,271	74,094,564 16.665.352	2.000.000	261,974,205	2 4 5,976,827 17.962.132	15,997,378	300.000		0 0	0	0	0
57 TRADESTATION SECURITIES INC	FCM BD	CME		190,899,773	14,694,923	176,204,850	968,010,231	903,729,303	64,280,928		21,274,484	17,085,293	4,189,191	800,000		0	0	0	0
58 TRADING.COM MARKETS INC	RFED	NFA	11/30/2023	26,402,019	20,000,000	6,402,019	0	0	(0	0	0	0	0	0 0	0	0	0	1,335,643
59 UBS FINANCIAL SERVICES INC 60 UBS SECURITIES LLC	FCM BD FCM BD	NFA	11/30/2023 11/30/2023	1,200,456,876 4,600,587,474	135,886,726 998,526,321	1,064,570,150 3,602,061,153	234,898,012 7.155.048,544	159,243,602 6,749,680,253	75,654,410 405,368,291	35,000,000 150,000,000	37,794,994 1.916.327.112	5,462,687 1,772,936,666	32,332,307 143,390,446	15,000,000 75,000,000	1.457.809.947	0 7 1.171.942.182	285.867.765	125,000,000	0
61 WEDBUSH SECURITIES INC	FCM BD		11/30/2023	256,511,298	998,526,321	166,333,573	3,359,104,178		29,827,587	19,000,000	43,909,467	38,083,361	5,826,106	1,000,000		1,1/1,342,182	203,007,765 N	123,000,000	0
62 WELLS FARGO SECURITIES LLC	FCM BD	CME		9,457,464,482	1,568,943,510	7,888,520,972	6,539,365,791	5,801,166,243	738,199,548	115,000,000	431,673,797	324,975,231	106,698,566	30,000,000	14,784,371,619	13,980,134,005	804,237,614	285,000,000	0
							200 200	200 404	44.000 :			ED 100	1010	4 004 :== =:	460.006	4======================================			
Totals							280,395,385,581	269,121,410,476	11,273,975,105	5,468,957,997	58,037,911,160	53,188,414,715	4,849,496,445	1,921,177,331	162,920,484,406	157,522,072,834	5,398,411,572	2,657,684,216	518,196,590
October Web Page Update	62																		
Additions			1											1	1	1			
None																<u> </u>			
			1						-									-	<u> </u>
Deletions None			1											1		1			
NOTE														 	1				
Name Changes																1			i .
None																			
November Web Page Update	62																		ļ
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SELECTED FCM FINANCIAL DATA AS OF November 30, 2023 FROM REPORTS FILED BY December 31, 2023

(a): FCM: Futures Commission Merchant that is registered with the Commodity Futures Trading										
BD: The FCM is also registered with the Securities and Exchange Commission as a securitie										
RFED: Retail Foreign Exchange Dealer that is registered with the Commodity Futures Tradir										
FCMRFD: The FCM is also registered with the Commodity Futures Trading Commission as a										
SD: The FCM or RFED is also registered with the Commodity Futures Trading Commission a	as a provisionally registered swap dealer.									
(b): DSRO: Designated Self-Regulatory Organization. FCM/RFED firms that are dually registered	d as CDs have two DCDOs listed if they have a different DCDO for the ECM/DE	EED and the CD. The DCDO for the ECM/DEED is listed first	and the DCDO for the CD is listed assend							
(b). DSRO. Designated Self-Regulatory Organization. FCM/RFED firms that are dually registered	as SDS flave two DSROS listed if triey flave a different DSRO for trie FCM/RF	red and the SD. The DSRO for the PCM/RPED is listed first	and the DSRO for the SD is listed second.							
(c): A firm's net capital requirement is the greater of:										
FCM minimum dollar amount (\$1,000,000); or										
	and 8% of total non-customer risk maintenance margin; or									
 risk based capital requirement, the sum of 8% of total customer risk maintenance margin and 8% of total non-customer risk maintenance margin; or the amount of capital required by a registered futures association (currently NFA is the only such association); or 										
the almount of deplian requires on a requirement of										
in securious numers and deprise, the amount or net capital required by route securious and usual REED (\$2,000,000); or minimum dollar amount for FCM's offering or engaged in retail forex transactions and REED (\$2,000,000); or										
minimum dollar amount of ref'ns offenging or engaged in retail forex ansatulous and NECOS (250,000,000), in minimum dollar amount of ref'ns offenging or engaged in retail forex ansatulous and NECOS (250,000,000) plus five percent of the FCM's or RFED's total retail forex obliqation in excess of \$10,000,000.										
(d): Excess net capital is adjusted net capital, less the firm's net capital requirement.										
(e): This represents the total amount of money, securities, and property held in segregated account	unts for futures and options customers in compliance with Section 4d of the	Commodity Exchange Act.								
(f): This represents the total amount of funds that an FCM is required to segregate on behalf of or	customers who are trading on a designated contract market or derivatives tra	ransaction execution facility. This is the sum of all accounts	that contain a net liquidating equity.							
(a). Everes/Deficient funds in convention is systemax assets in convention. less the systemax as	convention requirement									
(g): Excess/Deficient funds in segregation is customer assets in segregation, less the customer se	egregation requirement.									
(h): This represents the targeted excess of proprietary funds deposited into customer segregated	d accounts above the customer segregation requirement. This target amoun	nt is set by the firm								
(ii). This represents the targeted excess or proprietary runus deposited into editorner segregated	accounts above the eastonic segregation requirement. This target amount	ic is set by the fifth.								
(i): This represents the total amount of money, securities, and property held in secured accounts	s for futures and options customers who trade on commodity exchanges local	ated outside the United States in compliance with Part 30 of	the Commodity Exchange Act.							
,, , , , , , , , , , , , , , , , , , , ,		,								
(j): This represents the amount of funds an FCM is required to set aside for customers who trade	e on commodity exchanges located outside of the United States.									
(k): Excess/Deficient funds in separate Section 30.7 accounts is funds in separate Section 30.7 accounts in separate Secti	accounts, less the customer amount Part 30 requirement.									
(I): This represents the targeted excess of proprietary funds deposited into separate Section 30.7	7 accounts above the customer amount Part 30 requirement. This target am	nount is set by the firm.								
(m): This represents the total amount of money, securities, and property held in cleared swap cu	ustomer accounts for cleared swap customers in compliance with Section 4d((f) of the Commodity Exchange Act.								
() The second of										
(n): This represents the amount of funds an FCM is required to segregate for customers who trace	de cleared swaps.									
(a): Evenes/Deficient funds in cleared curan customer accounts is funds in consents cleared curan	a cograpation accounts. loss the cleared swan sustamor cograpation requires	nont								
(o): Excess/Deficient funds in cleared swap customer accounts is funds in separate cleared swap	segregation accounts, less the cleared swap customer segregation requirem	IICIIL.								
(p): This represents the targeted excess of proprietary funds deposited into separate cleared sw	wan segregation accounts above the cleared swan customer segregation requ	irement. This target amount is set by the firm.								
App apparent and an apparent and appointed into separate dedice on		and the second s								
(q): This represents the total amount of funds at an FCM, RFED, or FCMRFD that would be obtain	ined by combining all money, securities and property deposited by a retail for	rex customer into a retail forex account or accounts, adjuste	ed for the realized and unrealized net profit or los	S.						