UNITED STATES OF AMERICA Before the COMMODITY FUTURES TRADING COMMISSION



3:40 pm, May 12, 2020

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Advanta IRA Trust LLC, f/b/o Kevin Traina,

v. : CFTC Docket No. 16-R016

TradeStation Securities, Inc., Jacob Michael Hinkle, and John William Sendlosky.

ORDER OF SUMMARY AFFIRMANCE

Upon review of the record and the parties' appellate submissions, we have determined that the findings and conclusions in the initial decision are supported by the weight of the evidence and are substantially correct; we therefore adopt them. We also find that none of the arguments on appeal made by the appealing party raise any important question of law or policy. Accordingly, we summarily affirm the initial decision without opinion.

IT IS SO ORDERED.

By the Commission (Chairman TARBERT and Commissioners QUINTENZ, BEHNAM, STUMP, and BERKOVITZ).

Christopher J. Kirkpatrick Secretary of the Commission Commodity Futures Trading Commission

HH J. 94

Dated: May 12, 2020

¹ Pursuant to Commission Regulation 12.406(b), 17 C.F.R. § 12.406(b), neither the initial decision nor the Commission's order of summary affirmance shall serve as a Commission precedent in other proceedings.