

Proposed Dealer and Major Participant Definitions

June 16, 2011, 9:00 a.m.

Panel A – Core Aspects of Dealer Definitions

Steve Walton
for Bank of Oklahoma

Richard Ostrander
Morgan Stanley

Michael Masters
Better Markets

John Nicholas
Newedge

Eric Chern
Chicago Trading Company

Russ Wasson
NRECA

Sean Cota
Cota & Cota Oil

Ron Filler
NY Law School

Ed Prosser
Gavilon

Ron Oppenheimer
Working Group of Commercial Energy Firms

John McCarthy
GETCO

Panel B – Special Issues in Applying the Dealer Definitions

Greg Todd
Bank of America

John Janney
Large Public Power Council

Michael Masters
Better Markets

Bella Sanevich
NISA Investment Advisors, L.L.C.

Jon Hixson
Cargill

Ron Filler
NY Law School

Brenda Boulton
Constellation

Camille Rudge
The PrivateBank and Trust Company

James Cawley
Javelin Capital Markets

Mary-Margaret Collier
Tennessee Comptroller of the Treasury

Panel C – Major Participant Definitions

Marcus Stanley
Americans for Financial Reform

Pete Kyle
University of Maryland

Michael Masters
Better Markets

William Thum
Vanguard

Matt Nevins
Fidelity

Ron Oppenheimer
Working Group of Commercial Energy Firms