

**Appendix A**  
**CFTC FY 2010 to FY 2015 Expenses**

Information Technology Expenses							
Fiscal Year	Appropriation	% Change Appropriation	IT Non-FTE Expenses <sup>2</sup>	IT FTE Expenses <sup>3</sup>	IT Total Expenses	% Change in IT Non-FTE Expenses	% Change in IT Total Expenses
FY 2011 Actual	202,270,000	19.8%	39,130,000	14,110,000	53,240,000	24.3%	28.2%
FY 2012 Actual	205,294,000	1.5%	51,110,000	15,020,000	66,130,000	30.6%	24.2%
FY 2013 Actual <sup>1</sup>	194,555,000	-5.2%	42,140,000	14,800,000	56,940,000	-17.6%	-13.9%
FY 2014 Spend Plan	215,000,000	<b>10.5%</b>	42,900,000	16,233,000	59,133,000	<b>1.8%</b>	<b>3.9%</b>
FY 2015 Budget - President	280,000,000	<b>30.2%</b>	50,000,000	21,070,000	71,070,000	<b>16.6%</b>	<b>20.2%</b>
FY 2015 Budget - OMB <sup>4</sup>	318,000,000	47.9%	78,180,000	22,830,000	101,010,000	56.4%	42.1%

1) Appropriation number is post sequestration.

2) Direct IT costs for the Commission. Includes IT contracts.

3) Office of Data and Technology FTE salary and benefits.

4) This budget was submitted to the Office of Management and Budget in November 2013.

Division Expenses												
Division / Office	FY 2011 Actual 666 FTE	FY 2012 Actual 684 FTE	FY 2013 Actual 682 FTE	FY 2014 Spend Plan 667 FTE	FY 2015 Budget President 920 FTE	FY 2015 Budget OMB 990 FTE	% Change FY 14 vs FY 13	% Change FY 14 vs FY 11	FY 14 % of Approp.	% Change FY 15 vs FY 14	% Change FY 15 vs FY 11	FY 15 % of Approp.
Market Oversight	26,658,000	25,822,000	26,397,000	26,722,000	43,082,000	38,965,000	1.23%	0.24%	12.43%	61.22%	61.61%	15.39%
Clearing & Risk	11,296,000	13,237,000	14,002,000	15,011,000	22,717,000	23,189,000	7.21%	32.89%	6.98%	51.34%	101.11%	8.11%
Swap Dealer & Inter. Oversight	16,040,000	16,057,000	17,467,000	20,233,000	31,955,000	33,337,000	<b>15.84%</b>	<b>26.14%</b>	9.41%	<b>57.94%</b>	<b>99.22%</b>	11.41%
Chief Economist	2,937,000	3,689,000	2,482,000	2,632,000	4,021,000	4,142,000	6.04%	-10.38%	1.22%	52.77%	36.91%	1.44%
Enforcement	37,051,000	36,020,000	39,728,000	43,312,000	58,019,000	68,363,000	9.02%	16.90%	20.15%	33.96%	56.59%	20.72%
General Counsel	10,844,000	11,501,000	13,059,000	13,141,000	13,532,000	15,266,000	0.63%	21.18%	6.11%	2.98%	24.79%	4.83%
International Affairs	2,033,000	2,170,000	2,662,000	3,190,000	3,160,000	3,366,000	19.83%	56.91%	1.48%	-0.94%	55.44%	1.13%
Agency Direction	8,811,000	8,463,000	7,305,000	8,342,000	9,837,000	9,610,000	14.20%	-5.32%	3.88%	17.92%	11.64%	3.51%
Admin. Mgmt. and Support	16,718,000	17,142,000	18,846,000	18,277,000	18,793,000	19,257,000	-3.02%	9.33%	8.50%	2.82%	12.41%	6.71%
Data and Technology	60,073,000	64,958,000	59,781,000	64,140,000	74,884,000	102,505,000	<b>7.29%</b>	<b>6.77%</b>	29.83%	<b>16.75%</b>	<b>24.66%</b>	26.74%
<b>Total</b>	<b>192,461,000</b>	<b>199,059,000</b>	<b>201,729,000</b>	<b>215,000,000</b>	<b>280,000,000</b>	<b>318,000,000</b>	<b>6.58%</b>	<b>11.71%</b>		<b>30.23%</b>	<b>45.48%</b>	