

Appendix A

CFTC FY 2010 to FY 2014 Expenses

Information Technology Expenses

Fiscal Year	Appropriation	% Change in Appropriation	IT Non-FTE Expenses ²	IT FTE Expenses ³	IT Total Expenses	% Change in IT Non-FTE Expenses	% Change in IT Total Expenses
FY 2010 Actual	168,800,000	--	31,490,686	10,039,650	41,530,336	--	--
FY 2011 Actual	202,270,000	19.8	39,130,000	14,110,000	53,240,000	24.3	28.2
FY 2012 Actual	205,294,000	1.5	51,110,000	15,020,000	66,130,000	30.6	24.2
FY 2013 Actual	194,555,000 ¹	-5.2	42,140,000	14,800,000	56,940,000	-17.6	-13.9
FY 2014 Spend Plan	215,000,000	10.5	42,900,000	16,233,000	59,133,000	1.8	3.9

1) Post sequestration.

2) Direct IT costs for the Commission. Includes IT contracts.

3) Office of Data and Technology FTE salary and benefits.

Division Expenses

Division / Office	FY 2010 Actual 605 FTE	FY 2011 Actual 666 FTE	FY 2012 Actual 684 FTE	FY 2013 Actual 682 FTE	FY 2014 Spend Plan 667 FTE	% Change FY14 vs FY 13	FY14 % of Approp.	% Change FY14 vs FY 11
Market Oversight	35,391,000	26,658,000	25,822,000	26,377,000	26,722,000	1.31	12.43	0.24
Clearing & Inter. Oversight ⁴	27,294,000	--	--	--	--	--	--	--
Clearing & Risk	--	11,296,000	13,237,000	13,993,000	15,011,000	7.28	6.98	32.89
Swap Dealer & Inter. Oversight	--	16,040,000	16,057,000	17,455,000	20,233,000	15.92	9.41	26.14
Chief Economist	3,895,000	2,937,000	3,689,000	2,480,000	2,632,000	6.13	1.22	-10.38
Enforcement	42,217,000	37,051,000	36,020,000	39,703,000	43,312,000	9.09	20.15	16.90
Proceedings ⁵	3,060,000	--	--	--	--	--	--	--
General Counsel	11,966,000	10,844,000	11,501,000	13,051,000	13,141,000	0.69	6.11	21.18
International Affairs	2,505,000	2,033,000	2,170,000	2,661,000	3,190,000	19.88	1.48	56.91
Agency Direction	8,070,000	8,811,000	8,463,000	7,365,000	8,342,000	13.27	3.88	-5.32
Admin. / Support	33,946,000	16,718,000	17,142,000	18,874,000	18,277,000	-3.16	8.50	9.33
Data and Technology ⁶	--	60,073,000	64,958,000	59,770,000	64,140,000	7.31	29.83	6.77
Total	168,344,000	192,461,000	199,059,000	201,729,000	215,000,000	6.58	100.0	11.71

4) The Division of Clearing & Inter. Oversight split into the Division of Clearing & Risk and the Division of Swap Dealer & Inter. Oversight in FY 2011.

5) Proceedings moved into Admin. / Support in FY 2011.

6) The Office of Data and Technology split from Admin. / Support in FY 2011. In FY 2010, technology costs were distributed equally among Divisions.