

Commodity Futures Trading Commission
CEA CASES

NAME: DONALD L. WEINBERGER

DOCKET NUMBER: STIPULATION 57

DATE: DECEMBER 20, 1960

DOCUMENT TYPE: STIPULATION OF COMPLIANCE

UNITED STATES DEPARTMENT OF AGRICULTURE

BEFORE THE SECRETARY OF AGRICULTURE

Donald L. Weinberger

Stipulation of Compliance under the Commodity Exchange Act No. 57

The Secretary of Agriculture has reason to believe that Donald L. Weinberger has violated provisions of the Commodity Exchange Act (17 CFR, Chapter 1) by virtue of certain transactions in Maine potato futures as set forth below.

No complaint with respect to such violations has been issued and the said Donald L. Weinberger is desirous of disposing of the matter by entering into a stipulation of compliance, as authorized by section 0.4(a) of the Rules of Practice under the Commodity Exchange Act (17 CFR, 0.0-0.28). Therefore, the said Donald L. Weinberger stipulates that the following facts are true:

1. Donald L. Weinberger, an individual whose address is 6 Harrison Street, New York 13, New York, is, and was at all times mentioned herein, a registered floor broker under the Commodity Exchange Act and a member of the New York Mercantile Exchange, a duly designated contract market.

2. On various dates from August 30 through October 6, 1960, the said Donald L. Weinberger, acting as selling floor broker for customers and as buying floor broker for a joint account in which he held an

interest, willfully and knowingly and without the prior consent of his customers, became the buyer in respect to selling orders of such customers in transactions in Maine potato futures on the New York Mercantile Exchange on the dates and in the number of instances listed below:

Date	Number of Instances
(1960)	
August 30	2
September 20	1
" 23	3
" 29	1
October 6	1

3. On various dates from September 7 through [ILLEGIBLE TEXT] the said Donald L. Weinberger, acting as buying floor broker for customers and as selling floor broker for a joint account in which he held an interest, willfully and knowingly and without the prior consent of his customers, became the seller in respect to buying orders of such customers in transactions in Maine potato futures on the New York Mercantile Exchange on the dates and in the number of instances listed below:

Date	Number of Instances
(1960)	
September 27	1
" 30	1
October 12	2

The [ILLEGIBLE TEXT] Donald L. Weinberger that these transactions were [ILLEGIBLE TEXT] violation of section 4b(D) of the Commodity Exchange Act.

The said Donald L. Weinberger , agrees to refrain from willfully [ILLEGIBLE TEXT]wingly and without the prior consent of a customer becoming [ILLEGIBLE TEXT] in respect to any selling order of such customer or becoming the seller in respect to any buying order of such customer. He further understands and agrees that this stipulation shall be admissible as evidence of such acts and practices in any proceeding based upon transactions in addition to those referred to herein which may hereafter be brought by the Secretary of Agriculture against him under the provisions of the said act. He also understands that it is the practice of the Commodity Exchange Authority to make stipulations of compliance a matter of public record.

Done at New York, New York, this 20th day of December, 1960

/s/Donald L. Weinberger

Donald L. Weinberger

/s/ Roy Tipton

/s/ Sheldon Coopersmith

LOAD-DATE: June 16, 2008

