

SELECTED FCM FINANCIAL DATA AS OF
December 31, 2010
FROM REPORTS FILED BY
February 10, 2011

	Reg As	DSRO	A/O	Adjusted	Net Capital	Excess	Customers'	Customer	Total Amount	
Futures Commission Merchant / Retail Foreign Exchange Dealer			Date	Net Capital	Requirement	Net Capital	Seg Required	Amount	of Retail Forex	
	(a)	(b)			(c)	(d)	(e)	(f)	(g)	
							4d(a)(2)	Pt. 30 Required	Obligation	
1	ABN AMRO CLEARING CHICAGO LLC	FCM BD	CBOT	12/31/2010	223,334,513	95,664,932	127,669,581	1,494,689,483	276,743,797	0
2	ADM INVESTOR SERVICES INC	FCM	CBOT	12/31/2010	292,004,689	164,552,915	127,451,774	2,498,246,016	50,828,936	3,221,453
3	ADVANCED MARKETS LLC	RFED	NFA	12/31/2010	20,219,163	20,000,000	219,163	0	0	1,536,882
4	ADVANTAGE FUTURES LLC	FCM	CME	12/31/2010	21,341,943	11,438,349	9,903,594	362,516,782	40,559,039	0
5	ALLIANZ GLOBAL INVESTORS DISTRIBUTORS LLC	FCM BD	NFA	12/31/2010	74,553,831	11,501,943	63,051,888	0	0	0
6	ALPARI (US) LLC	RFED	NFA	12/31/2010	21,821,249	20,000,000	1,821,249	0	0	5,432,547
7	AMERIPRISE FINANCIAL SERVICES INC	FCM BD	NFA	12/31/2010	119,013,207	1,000,000	118,013,207	0	0	0
8	AMP GLOBAL CLEARING LLC	FCM	NFA	12/31/2010	1,380,472	1,000,000	380,472	22,440,576	88,950	0
9	BARCLAYS CAPITAL INC	FCM BD	NYME	12/31/2010	6,072,239,569	689,934,711	5,382,304,858	4,983,085,169	1,951,833,130	0
10	BGC FINANCIAL LP	FCM BD	NFA	12/31/2010	45,496,814	1,000,000	44,496,814	0	0	0
11	BNP PARIBAS COMMODITY FUTURES INC	FCM	NYME	12/31/2010	376,556,237	210,752,076	165,804,161	1,282,006,200	402,961,246	0
12	BNP PARIBAS SECURITIES CORP	FCM BD	CBOT	12/31/2010	1,743,573,534	71,087,486	1,672,486,048	439,882,745	0	0
13	BNY MELLON CLEARING LLC	FCM	CME	12/31/2010	77,850,302	4,820,037	73,030,265	673,096	0	0
14	CANTOR FITZGERALD & CO	FCM BD	CBOT	12/31/2010	164,750,275	12,449,134	152,301,141	10,836,575	0	0
15	CAPITAL MARKET SERVICES LLC	RFED	NFA	12/31/2010	3,048,589	1,000,000	2,048,589	0	0	0
16	CIBC WORLD MARKETS CORP	FCM BD	CME	12/31/2010	491,108,043	1,500,000	489,608,043	0	0	0
17	CITADEL SECURITIES LLC	FCM BD	CME	12/31/2010	414,093,015	1,000,000	413,093,015	0	0	0
18	CITIGROUP GLOBAL MARKETS INC	FCM BD	CBOT	12/31/2010	8,902,804,356	684,878,661	8,217,925,695	10,307,993,493	1,404,747,746	0
19	COMMONWEALTH FOREIGN EXCHANGE INC	FCM	NFA	12/31/2010	1,565,758	1,000,000	565,758	0	0	0
20	COMPASS PROFESSIONAL SERVICES LLC	FCM BD	NFA	12/31/2010	3,328,963	1,000,000	2,328,963	0	0	0
21	COUNTRY HEDGING INC	FCM	NFA	12/31/2010	26,867,889	19,616,150	7,251,739	169,675,927	44,432	0
22	CREDIT SUISSE SECURITIES (USA) LLC	FCM BD	CBOT	12/31/2010	8,105,024,274	914,725,872	7,190,298,402	5,155,653,820	1,398,331,513	0
23	CROSSLAND LLC	FCM	CBOT	12/31/2010	10,705,254	5,735,480	4,969,774	73,362,485	46,119,763	0
24	CUNNINGHAM COMMODITIES LLC	FCM	CBOT	12/31/2010	3,166,630	1,000,000	2,166,630	46,983,081	59,143	0
25	CX CAPITAL MARKETS LLC	FCM	NFA	12/31/2010	2,324,913	1,000,000	1,324,913	23,552,383	0	0
26	DAIWA CAPITAL MARKETS AMERICA INC	FCM BD	CME	12/31/2010	337,602,216	1,158,861	336,443,355	8,232,249	40,979	0
27	DEUTSCHE BANK SECURITIES INC	FCM BD	CBOT	12/31/2010	6,131,422,510	571,734,615	5,559,687,895	13,677,764,613	1,232,840,312	0
28	DORMAN TRADING LLC	FCM	CME	12/31/2010	7,649,889	1,000,000	6,649,889	106,537,087	1,392,282	0
29	EAGLE MARKET MAKERS INC	FCM	CBOT	12/31/2010	6,392,485	1,000,000	5,392,485	5,778,594	0	0
30	EASY FOREX US LTD	FCM	NFA	12/31/2010	2,029,017	1,000,000	1,029,017	0	0	0
31	ELECTRONIC BROKERAGE SYSTEMS LLC	FCM BD	NFA	12/31/2010	7,853,150	1,000,000	6,853,150	0	0	0
32	ENSKILDA FUTURES LTD	FCM	CME	12/31/2010	28,885,080	18,436,093	10,448,987	302,692,406	0	0
33	FARR FINANCIAL INC	FCM	NFA	12/31/2010	1,814,592	1,000,000	814,592	42,996,376	113,089	0
34	FCSTONE LLC	FCM	CME	12/31/2010	92,452,940	75,460,512	16,992,428	1,025,416,482	11,187,781	16,170,712
35	FOREX CAPITAL MARKETS LLC	RFED	NFA	12/31/2010	87,549,746	26,500,998	61,048,748	0	0	140,019,969
36	FOREX CLUB FINANCIAL COMPANY INC	RFED	NFA	12/31/2010	20,631,753	20,000,000	631,753	0	0	2,469,949
37	FRIEDBERG MERCANTILE GROUP INC	FCM	NFA	12/31/2010	3,411,418	1,000,000	2,411,418	9,076,245	376,184	0
38	FRONTIER FUTURES INC	FCM	NFA	12/31/2010	1,803,161	1,444,179	358,982	30,790,558	0	0
39	FUTURES TECH LLC	FCM	NFA	12/31/2010	1,598,972	1,000,000	598,972	0	0	0
40	FX SOLUTIONS LLC	RFED	NFA	12/31/2010	38,692,609	20,616,699	18,075,910	0	0	22,333,976
41	FXDIRECTDEALER LLC	RFED	NFA	12/31/2010	24,292,230	21,526,800	2,765,430	0	0	40,535,996
42	GAIN CAPITAL GROUP LLC	RFED	NFA	12/31/2010	75,975,719	26,408,104	49,567,615	0	0	138,162,077
43	GLOBAL FUTURES & FOREX LTD	RFED	NFA	12/31/2010	70,448,625	24,097,848	46,350,777	439,224	190,557	91,956,970

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44	GOLDMAN SACHS & CO	FCM BD	CBOT	12/31/2010	11,144,351,902	1,934,352,725	9,209,999,177	19,901,570,542	6,587,231,873	0
45	GOLDMAN SACHS EXECUTION & CLEARING LP	FCM BD	CME	12/31/2010	1,960,801,715	132,932,757	1,827,868,958	1,167,454,504	36,979,688	0
46	HSBC SECURITIES USA INC	FCM BD	CME	12/31/2010	1,086,263,365	101,082,797	985,180,568	848,031,272	91,787,656	0
47	ICAP FUTURES LLC	FCM	NFA	12/31/2010	8,280,693	1,000,000	7,280,693	0	0	0
48	IG MARKETS INC	FCM	NFA	12/31/2010	29,049,601	20,000,000	9,049,601	113,563	0	1,292,673
49	IKON GLOBAL MARKETS INC	FCM	NFA	12/31/2010	15,655,916	1,000,000	14,655,916	17,040,101	108,434	0
50	INSTINET LLC	FCM BD	NFA	12/31/2010	120,846,803	1,000,000	119,846,803	0	0	0
51	INSTITUTIONAL LIQUIDITY LLC	FCM	NFA	12/31/2010	21,146,263	20,000,000	1,146,263	0	0	0
52	INTEGRATED BROKERAGE SERVICES LLC	FCM	NFA	12/31/2010	1,778,660	1,000,000	778,660	0	0	0
53	INTERACTIVE BROKERS LLC	FCM BD	NFA	12/31/2010	1,154,986,340	161,767,638	993,218,702	600,801,346	176,713,967	0
54	INTERBANK FX LLC	RFED	NFA	12/31/2010	25,794,840	21,110,769	4,684,071	0	0	32,215,388
55	IRONBEAM INC	FCM	NFA	12/31/2010	1,828,699	1,000,000	828,699	0	0	0
56	ITG DERIVATIVES LLC	FCM BD	NFA	12/31/2010	5,690,907	1,000,000	4,690,907	0	0	0
57	JP MORGAN CLEARING CORP	FCM BD	CME	12/31/2010	5,720,845,541	1,814,270,694	3,906,574,847	529,511,949	250,807,563	0
58	JP MORGAN FUTURES INC	FCM	NYME	12/31/2010	2,346,186,811	1,295,860,102	1,050,326,709	15,975,573,189	1,899,997,154	0
59	JP MORGAN SECURITIES LLC	FCM BD	NFA	12/31/2010	6,857,016,996	545,747,951	6,311,269,045	0	0	0
60	LEK SECURITIES CORPORATION	FCM BD	NFA	12/31/2010	10,821,069	1,500,000	9,321,069	250,218	0	0
61	LIMESTONE TRADING LLC	FCM	NFA	12/31/2010	9,563,098	1,000,000	8,563,098	0	0	0
62	LINN GROUP THE	FCM	NFA	12/31/2010	2,444,365	1,108,880	1,335,485	35,302,187	188,420	0
63	LPL FINANCIAL CORPORATION	FCM BD	NFA	12/31/2010	95,362,174	5,530,100	89,832,074	0	0	0
64	MACQUARIE FUTURES USA INC	FCM	CBOT	12/31/2010	124,499,519	59,258,146	65,241,373	774,118,055	21,456,352	0
65	MAREX NORTH AMERICA LLC	FCM	NFA	12/31/2010	11,776,056	1,000,000	10,776,056	33,955,336	0	0
66	MAREX USA LIMITED	FCM	NFA	12/31/2010	2,748,527	1,000,000	1,748,527	0	0	0
67	MB TRADING FUTURES INC	RFED	NFA	12/31/2010	22,031,406	21,234,588	796,818	0	0	34,691,760
68	MBF CLEARING CORP	FCM	NYME	12/31/2010	10,384,620	1,236,711	9,147,909	81,564,454	487,669	0
69	MCVEAN TRADING & INVESTMENTS LLC	FCM	NFA	12/31/2010	9,149,094	4,588,259	4,560,835	739,820,028	0	0
70	MERRILL LYNCH PIERCE FENNER & SMITH	FCM BD	CBOT	12/31/2010	9,843,107,283	735,931,408	9,107,175,875	8,804,316,798	1,990,808,679	0
71	MERRILL LYNCH PROFESSIONAL CLEARING CORP	FCM BD	NFA	12/31/2010	2,335,172,066	187,563,930	2,147,608,136	645,131,704	41,132,570	0
72	MF GLOBAL INC	FCM BD	CME	12/31/2010	566,914,106	410,800,746	156,113,360	8,333,979,852	649,799,785	0
73	MID CO COMMODITIES INC	FCM	NFA	12/31/2010	14,537,713	8,909,553	5,628,160	46,902,983	0	0
74	MITSUBISHI UFJ SECURITIES USA INC	FCM BD	NFA	12/31/2010	109,754,768	1,143,993	108,610,775	0	0	0
75	MIITSUI BUSSAN COMMODITIES USA INC	FCM	NFA	12/31/2010	2,529,663	1,000,000	1,529,663	0	0	0
76	MIZUHO SECURITIES USA INC	FCM BD	CME	12/31/2010	444,331,218	67,083,069	377,248,149	762,958,673	417,967,875	0
77	MORGAN KEEGAN & COMPANY INC	FCM BD	NFA	12/31/2010	459,596,890	8,955,849	450,641,041	0	0	0
78	MORGAN STANLEY & CO INCORPORATED	FCM BD	CME	12/31/2010	7,462,511,520	1,107,649,084	6,354,862,436	4,664,327,531	1,648,470,790	0
79	MORGAN STANLEY SMITH BARNEY LLC	FCM BD	NFA	12/31/2010	1,022,715,233	47,978,013	974,737,220	634,255,216	1,457,362,880	0
80	NATIXIS SECURITIES NORTH AMERICA INC	FCM BD	NFA	12/31/2010	222,507,516	1,000,000	221,507,516	0	0	0
81	NEUBERGER BERMAN LLC	FCM BD	NFA	12/31/2010	124,128,778	1,500,000	122,628,778	0	0	0
82	NEWEDGE USA LLC	FCM BD	NYME	12/31/2010	2,232,382,565	1,373,751,236	858,631,329	21,003,318,136	6,950,962,489	0
83	NOMURA SECURITIES INTERNATIONAL INC	FCM BD	CBOT	12/31/2010	2,055,038,405	23,831,402	2,031,207,003	75,875,406	720,341	0
84	OANDA CORPORATION	RFED	NFA	12/31/2010	168,140,077	27,912,354	140,227,723	0	0	168,247,089
85	OPEN E CRY LLC	FCM	NFA	12/31/2010	2,688,424	1,000,000	1,688,424	84,586,957	1,115,234	0
86	OPPENHEIMER & CO INC	FCM BD	NFA	12/31/2010	170,758,265	24,429,796	146,328,469	22,961,116	0	0

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	(a)	(b)			(c)	(d)	(e)	(f)	(g)	
							4d(a)(2)	Pt. 30 Required	Obligation	
87	OPTIONSXPRESS INC	FCM BD	NFA	12/31/2010	81,791,630	11,237,909	70,553,721	94,699,695	1,715,942	0
88	PENSON FUTURES	FCM	CBOT	12/31/2010	32,094,395	27,928,283	4,166,112	749,075,970	31,923,105	0
89	PEREGRINE FINANCIAL GROUP INC	FCM	NFA	12/31/2010	35,873,805	21,506,327	14,367,478	508,025,377	27,652,929	40,126,533
90	PIONEER FUTURES INC	FCM	NFA	12/31/2010	2,061,117	1,000,000	1,061,117	0	0	0
91	PRUDENTIAL BACHE COMMODITIES LLC	FCM	CBOT	12/31/2010	229,543,000	197,356,400	32,186,600	2,938,779,000	319,172,000	1,301,000
92	RAND FINANCIAL SERVICES INC	FCM	CME	12/31/2010	71,461,732	18,575,239	52,886,493	268,538,400	21,399,600	0
93	RAYMOND JAMES & ASSOCIATES INC	FCM BD	NFA	12/31/2010	361,814,300	28,949,972	332,864,328	0	0	0
94	RBC CAPITAL MARKETS LLC	FCM BD	CME	12/31/2010	1,156,477,701	106,362,199	1,050,115,502	279,592,880	25,159,846	0
95	RBS SECURITIES INC	FCM BD	CBOT	12/31/2010	1,441,720,000	181,796,000	1,259,924,000	1,711,672,000	86,382,000	0
96	RJ OBRIEN ASSOCIATES LLC	FCM	CME	12/31/2010	142,324,061	111,139,781	31,184,280	2,276,839,623	54,740,239	2,845,378
97	ROSENTHAL COLLINS GROUP LLC	FCM	CME	12/31/2010	81,299,258	50,493,302	30,805,956	1,499,479,782	37,305,981	0
98	ROSENTHAL GLOBAL SECURITIES LLC	FCM BD	NFA	12/31/2010	33,223,397	1,000,000	32,223,397	0	0	0
99	SANFORD C BERNSTEIN & CO LLC	FCM BD	NFA	12/31/2010	173,304,109	14,713,565	158,590,544	0	0	0
100	SANTANDER INVESTMENT SECURITIES INC	FCM BD	NYME	12/31/2010	94,707,213	9,635,661	85,071,552	389,990,008	0	0
101	STATE STREET GLOBAL MARKETS LLC	FCM BD	CME	12/31/2010	386,534,827	1,719,498	384,815,329	13,869,343	2,828,809	0
102	STEPHENS	FCM BD	NFA	12/31/2010	74,907,552	11,937,900	62,969,652	0	0	0
103	STERLING COMMODITIES CORP	FCM	NYME	12/31/2010	7,792,076	2,456,585	5,335,491	57,208,425	205,684	0
104	TCA FUTURES LLC	FCM	NFA	12/31/2010	1,600,970	1,000,000	600,970	0	0	0
105	TD AMERITRADE INC	FCM BD	NFA	12/31/2010	223,409,972	1,000,000	222,409,972	0	0	0
106	TENCO INC	FCM	CBOT	12/31/2010	55,275,089	14,192,635	41,082,454	316,394,213	0	0
107	TIMBER HILL LLC	FCM BD	CME	12/31/2010	1,024,003,976	37,798,797	986,205,179	469,341,809	0	0
108	TOWER RESEARCH CAPITAL EUROPE LLC	FCM	NFA	12/31/2010	6,325,493	1,000,000	5,325,493	0	0	0
109	TRADELINK LLC	FCM BD	CBOT	12/31/2010	32,262,633	1,000,000	31,262,633	0	0	0
110	TRADESTATION SECURITIES INC	FCM BD	NFA	12/31/2010	68,464,203	2,823,621	65,640,582	407,746,956	3,143,538	0
111	TRADITION SECURITIES AND FUTURES INC	FCM	NFA	12/31/2010	1,530,855	1,000,000	530,855	0	0	0
112	TRILAND USA INC	FCM	NYME	12/31/2010	23,573,381	17,054,493	6,518,888	196,520,496	16,024,514	0
113	UBS FINANCIAL SERVICES INC	FCM BD	NFA	12/31/2010	1,364,195,427	92,590,311	1,271,605,116	213,851,828	1,245,342	0
114	UBS SECURITIES LLC	FCM BD	CBOT	12/31/2010	11,146,646,865	981,273,080	10,165,373,785	10,303,405,139	4,550,517,572	0
115	VELOCITY FUTURES LLC	FCM	NFA	12/31/2010	1,601,366	1,000,000	601,366	62,928,311	11,597,408	0
116	VISION FINANCIAL MARKETS LLC	FCM BD	CME	12/31/2010	22,501,045	6,731,358	15,769,687	362,940,840	8,501,461	0
117	WALL STREET DERIVATIVES INC	FCM	NFA	12/31/2010	1,505,924	1,000,000	505,924	0	0	0
118	WELLS FARGO ADVISORS FINANCIAL NETWORK LLC	FCM BD	NFA	12/31/2010	33,819,754	3,804,753	30,015,001	0	0	0
119	WELLS FARGO ADVISORS LLC	FCM BD	NFA	12/31/2010	783,003,989	1,500,000	781,503,989	0	0	0
120	WELLS FARGO SECURITIES LLC	FCM BD	CME	12/31/2010	931,694,590	21,750,282	909,944,308	0	0	0
121	WHITE COMMERCIAL CORPORATION	FCM	NFA	12/31/2010	3,018,950	1,000,000	2,018,950	0	0	0
122	YORK BUSINESS ASSOCIATES LLC	FCM	NFA	12/31/2010	3,030,390	1,000,000	2,030,390	69,580,881	1,281,042	0
Totals							151,055,523,757	34,243,355,310	742,560,352	

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November Web Page Update	124								
Additions	0								
None									
Deletions	2								
MG FINANCIAL LLC									
SMW TRADING CO INC									
Name Change									
WSD FINANCIAL USA INC to WALL STREET DERIVATIVES INC									
December Web Page Update	122								
*Allianz Global Investors Distributors LLC files financial statements quarterly.									
(a): FCM: Futures Commission Merchant that is registered with the Commodity Futures Trading Commission; BD: The FCM is also registered with the Securities and Exchange Commission as a securities broker or dealer; RFED: Retail Foreign Exchange Dealer that is registered with the Commodity Futures Trading Commission.									
(b): DSRO: Designated Self-Regulatory Organization.									
(c): A firm's net capital requirement is the greater of : <ul style="list-style-type: none"> • FCM minimum dollar amount (\$1,000,000); or • risk based capital requirement, the sum of 8% of total customer risk maintenance margin and 8% of total non-customer risk maintenance margin; or • the amount of capital required by a registered futures association (currently NFA is the only such association); or • for securities brokers and dealers, the amount of net capital required by Rule 15c3-1(a) of the Securities and Exchange Commission; or • minimum dollar amount for FCM's offering or engaged in retail forex transactions and RFEDs (\$20,000,000); or • minimum dollar amount for FCM's offering or engaged in retail forex transactions and RFEDs (\$20,000,000) plus five percent of the FCM's or RFED's total retail forex obligation in excess of \$10,000,000. 									
(d): Excess net capital is adjusted net capital, less the firm's net capital requirement.									
(e): This represents the total amount of funds that an FCM is required to segregate on behalf of customers who are trading on a designated contract market or derivatives transaction execution facility. This is the sum of all accounts that contain a net liquidating equity.									
(f): This represents the amount of funds an FCM is required to set aside for customers who trade on commodity exchanges located outside of the United States.									
(g): This represents the total amount of funds at an FCM or RFED that would be obtained by combining all money, securities and property deposited by a retail forex customer into a retail forex account or accounts, adjusted for the realized and unrealized net profit or loss.									
Note: Any comments regarding information contained in this table may be brought to the attention of the CFTC's Division of Clearing and Intermediary Oversight via e-mail: ccoffin@cftc.gov or mhendrickso@cftc.gov									