

SELECTED FCM FINANCIAL DATA AS OF  
November 30, 2008  
FROM REPORTS FILED BY  
December 31, 2008

	B/D?	DSRO	A/O	Adjusted	Net Capital	Excess	Customers'	Customer	
Futures Commission Merchant			Date	Net Capital	Requirement	Net Capital	Seg Required	Amount	
	(a)	(b)			(c)	(d)	4d(a)(2)	Pt. 30 Required	
							(e)	(f)	
1	3D FOREX, LLC	N	NFA	11/30/2008	18,461,937	500,000	17,961,937	0	0
2	ACM USA LLC	N	NFA	11/30/2008	11,165,241	10,000,000	1,165,241	0	0
3	ADM INVESTOR SERVICES INC	N	CBOT	11/30/2008	152,395,366	69,715,102	82,680,264	1,907,563,003	129,765,593
4	ADVANCED MARKETS INC	N	NFA	11/30/2008	10,195,947	10,000,000	195,947	0	0
5	ADVANTAGE FUTURES LLC	N	CME	11/28/2008	16,358,148	6,512,044	9,846,104	211,835,755	24,802,900
6	AIG CLEARING CORPORATION	N	NYME	11/30/2008	323,477,037	15,601,686	307,875,351	0	0
7	ALARON TRADING CORPORATION	N	NFA	11/30/2008	4,040,860	2,645,616	1,395,244	133,688,746	11,779,020
8	ALLIANZ GLOBAL INVESTORS DISTRIBUTORS LLC *	Y	NFA	09/30/2008	25,779,452	7,250,234	18,529,218	0	0
9	ALPARI (US) LLC	N	NFA	11/30/2008	18,158,297	10,000,000	8,158,297	0	0
10	AMERIPRISE ADVISOR SERVICES INC	Y	NFA	11/30/2008	27,084,892	5,514,136	21,570,756	0	0
11	BACERA CORPORATION	N	NFA	11/30/2008	5,368,662	500,000	4,868,662	0	0
12	BANC OF AMERICA SECURITIES LLC	Y	CME	11/28/2008	2,958,395,611	170,947,501	2,787,448,110	1,161,489,887	16,453,602
13	BARCLAYS CAPITAL INC	Y	NYME	11/28/2008	6,780,179,748	500,000,000	6,280,179,748	6,607,685,707	2,632,821,294
14	BGC SECURITIES	Y	NFA	11/30/2008	8,942,770	500,000	8,442,770	0	0
15	BNP PARIBAS COMMODITY FUTURES INC	N	NYME	11/28/2008	300,837,786	258,024,532	42,813,254	3,322,921,306	498,916,891
16	BNP PARIBAS SECURITIES CORP	Y	CBOT	11/30/2008	998,029,829	33,274,465	964,755,364	249,234,333	0
17	CADENT FINANCIAL SERVICES LLC	N	CME	11/30/2008	9,296,883	5,573,673	3,723,210	258,767,956	2,120,414
18	CANTOR FITZGERALD & CO	Y	CBOT	11/30/2008	304,096,951	24,643,102	279,453,849	17,047,547	19,816
19	CAPITAL MARKET SERVICES LLC	N	NFA	11/30/2008	26,540,892	10,000,000	16,540,892	0	0
20	CIBC WORLD MARKETS CORP	Y	CME	11/28/2008	1,082,570,262	4,211,720	1,078,358,542	0	0
21	CITIGROUP GLOBAL MARKETS INC	Y	CBOT	11/30/2008	5,548,646,362	935,602,012	4,613,044,350	16,262,378,349	1,439,500,575
22	CLIFF LARSON COMPANY THE	N	NFA	11/30/2008	3,464,163	1,998,292	1,465,871	22,985,910	0
23	COMMONWEALTH FOREIGN EXCHANGE INC	N	NFA	11/30/2008	1,437,856	500,000	937,856	0	0
24	COMTRUST INC	N	NFA	11/30/2008	824,866	500,000	324,866	917,715	0
25	COUNTRY HEDGING INC	N	NFA	11/30/2008	12,889,946	8,084,551	4,805,395	118,606,127	156,217
26	CREDIT SUISSE SECURITIES (USA) LLC	Y	CBOT	11/30/2008	8,945,673,805	684,408,637	8,261,265,168	3,495,251,592	1,819,253,096
27	CROSSLAND LLC	N	CBOT	11/30/2008	3,436,165	593,336	2,842,829	27,322,872	312,569
28	CUNNINGHAM COMMODITIES LLC	N	CBOT	11/30/2008	3,134,152	500,000	2,634,152	17,571,978	154,814
29	DAIWA SECURITIES AMERICA INC	Y	CME	11/30/2008	228,149,024	1,820,012	226,329,012	28,746,840	634,437
30	DEUTSCHE BANK SECURITIES INC	Y	CBOT	11/28/2008	2,837,910,823	536,072,471	2,301,838,352	6,959,500,455	1,392,197,454
31	DORMAN TRADING LLC	N	CME	11/30/2008	7,852,424	500,000	7,352,424	65,359,948	0
32	DUNAVANT COMMODITY CORP	N	NFA	11/30/2008	27,998,971	604,749	27,394,222	0	0
33	EAGLE MARKET MAKERS INC	N	CBOT	11/30/2008	5,990,893	500,000	5,490,893	7,734,881	0
34	EASY FOREX US LTD	N	NFA	11/30/2008	10,606,977	10,000,000	606,977	0	0
35	ELECTRONIC BROKERAGE SYSTEMS LLC	Y	NFA	11/30/2008	11,219,121	500,000	10,719,121	0	0
36	ENSKILDA FUTURES LTD	N	CME	11/30/2008	24,490,987	12,331,522	12,159,465	246,506,870	0
37	FARR FINANCIAL INC	N	NFA	11/30/2008	1,499,770	500,000	999,770	33,350,559	0
38	FC STONE LLC	N	CME	11/30/2008	89,964,022	47,322,891	42,641,131	1,034,746,253	13,153,155
39	FOREX CAPITAL MARKETS LLC	N	NFA	11/30/2008	131,416,979	10,000,000	121,416,979	0	0
40	FOREX CLUB FINANCIAL COMPANY INC	N	NFA	11/30/2008	15,823,325	10,000,000	5,823,325	0	0
41	FORTIS CLEARING AMERICAS LLC	Y	CBOT	11/30/2008	195,971,823	54,356,748	141,615,075	962,615,440	147,170,684
42	FRIEDBERG MERCANTILE GROUP INC	N	NFA	11/30/2008	8,201,858	5,000,000	3,201,858	3,072,207	177,981
43	FRONTIER FUTURES INC	N	NFA	11/30/2008	1,248,882	730,803	518,079	36,201,417	0
44	FUTURES TECH LLC	N	NFA	11/30/2008	852,362	500,000	352,362	0	0
45	FX SOLUTIONS LLC	N	NFA	11/30/2008	45,125,703	10,000,000	35,125,703	0	0
46	GAIN CAPITAL GROUP LLC	N	NFA	11/30/2008	102,959,741	10,000,000	92,959,741	0	0

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47	GATEWAY CAPITAL L.L.C.	N	NFA	11/30/2008	644,866	500,000	144,866	0	0
48	GELBER GROUP LLC	N	CBOT	11/30/2008	134,837,396	946,067	133,891,329	88,856,032	0
49	GFS FOREX & FUTURES INC	N	NFA	11/30/2008	12,861,954	10,000,000	2,861,954	0	0
50	GILDER GAGNON HOWE AND CO LLC	Y	NFA	11/30/2008	15,102,111	500,000	14,602,111	0	0
51	GLOBAL FUTURES & FOREX LTD	N	NFA	11/30/2008	73,808,315	10,000,000	63,808,315	512,508	696
52	GOLDMAN SACHS & CO	Y	CBOT	11/28/2008	10,919,704,718	2,046,619,737	8,873,084,981	23,320,222,623	9,188,085,368
53	GOLDMAN SACHS EXECUTION & CLEARING LP	Y	CME	11/28/2008	1,375,037,585	88,914,577	1,286,123,008	1,660,331,073	12,298,593
54	HOTSPOT FXR LLC	N	NFA	11/30/2008	10,527,057	10,000,000	527,057	0	0
55	HSBC SECURITIES USA INC	Y	CME	11/30/2008	1,151,113,463	123,489,786	1,027,623,677	1,659,024,379	75,818,371
56	I TRADE FX LLC	N	NFA	11/30/2008	17,098,848	10,000,000	7,098,848	0	0
57	ICAP FUTURES LLC	N	NFA	11/30/2008	5,487,652	500,000	4,987,652	0	0
58	IG MARKETS INC.	N	NFA	11/30/2008	16,554,120	10,000,000	6,554,120	0	0
59	IKON GLOBAL MARKETS INC.	N	NFA	11/30/2008	15,013,602	10,000,000	5,013,602	2,182,188	182,098
60	INSTINET LLC	Y	NFA	11/30/2008	83,918,884	4,225,900	79,692,984	0	0
61	INTEGRATED BROKERAGE SERVICES LLC	N	NFA	11/30/2008	1,506,005	500,000	1,006,005	140,894	0
62	INTERACTIVE BROKERS LLC	Y	EUXUS	11/30/2008	611,268,845	38,683,786	572,585,059	204,539,550	70,652,693
63	INTERBANK FX LLC	N	NFA	11/28/2008	42,954,278	10,000,000	32,954,278	0	0
64	ITG DERIVATIVES, LLC	Y	NFA	11/30/2008	2,305,951	500,000	1,805,951	0	0
65	JP MORGAN CLEARING CORP	Y	CME	11/30/2008	4,774,509,858	1,323,048,261	3,451,461,597	1,020,527,387	283,760,177
66	JP MORGAN FUTURES INC	N	NYME	11/30/2008	2,105,348,802	1,473,403,793	631,945,009	19,825,633,184	2,397,610,202
67	JP MORGAN SECURITIES INC	Y	NFA	11/28/2008	8,640,659,189	624,151,713	8,016,507,476	0	0
68	KOTTKE ASSOCIATES LLC	N	CBOT	11/30/2008	25,725,283	500,000	25,225,283	40,430,333	1,955
69	LADENBURG THALMANN & CO INC	Y	NFA	11/30/2008	7,617,859	500,000	7,117,859	0	0
70	LBS LIMITED PARTNERSHIP	N	NFA	11/30/2008	779,311	500,000	279,311	0	0
71	LEK SECURITIES CORPORATION	Y	NFA	11/30/2008	11,460,802	1,500,000	9,960,802	395,059	0
72	LIMESTONE TRADING LLC	N	NFA	11/30/2008	16,168,026	500,000	15,668,026	0	0
73	LINN GROUP ( THE )	N	NFA	11/30/2008	3,000,842	500,000	2,500,842	15,011,710	965
74	LPL FINANCIAL CORPORATION	Y	NFA	11/30/2008	97,419,080	6,658,046	90,761,034	0	0
75	MACQUARIE FUTURES USA INC	N	CBOT	11/30/2008	51,006,543	26,350,821	24,655,722	504,204,310	1,540,437
76	MB TRADING FUTURES INC.	N	NFA	11/30/2008	14,664,024	10,000,000	4,664,024	0	0
77	MBF CLEARING CORP	N	NYME	11/30/2008	28,103,552	1,348,278	26,755,274	79,236,549	4,854,413
78	MCVEAN TRADING AND INVESTMENTS LLC	N	NFA	11/30/2008	14,243,427	1,492,248	12,751,179	686,324,792	0
79	MERRILL LYNCH PIERCE FENNER & SMITH	Y	CBOT	11/28/2008	3,956,325,205	660,749,684	3,295,575,521	9,672,811,504	1,647,446,562
80	MERRILL LYNCH PROFESSIONAL CLEARING CORP	Y	NFA	11/28/2008	1,135,017,392	82,008,724	1,053,008,668	985,272,400	51,820,212
81	MF GLOBAL INC.	Y	CME	11/30/2008	640,389,308	360,377,803	280,011,505	7,676,938,871	692,380,286
82	MG FINANCIAL LLC	N	NFA	11/30/2008	5,748,123	500,000	5,248,123	0	0
83	MID-CO COMMODITIES INC	N	NFA	11/30/2008	13,903,774	3,204,002	10,699,772	37,285,010	0
84	MITSUI BUSSAN COMMODITIES USA INC	N	NYME	11/30/2008	7,668,475	2,293,921	5,374,554	0	0
85	MIZUHO SECURITIES USA INC	Y	CME	11/28/2008	310,770,590	62,432,912	248,337,678	593,205,325	260,587,996
86	MORGAN KEEGAN & COMPANY INC	Y	NFA	11/30/2008	326,450,307	9,984,846	316,465,461	0	0
87	MORGAN STANLEY & CO INCORPORATED	Y	CME	11/30/2008	8,283,858,226	922,053,898	7,361,804,328	5,770,622,763	4,690,238,274
88	NATIXIS SECURITIES NORTH AMERICA INC	Y	NFA	11/28/2008	195,107,073	500,000	194,607,073	0	0
89	NEUBERGER BERMAN LLC	Y	NFA	11/28/2008	106,510,527	5,000,000	101,510,527	0	0
90	NEWEDGE USA LLC.	Y	NYME	11/30/2008	2,374,285,488	1,582,378,655	791,906,833	22,042,391,645	8,511,145,895
91	NOMURA SECURITIES INTERNATIONAL INC	Y	CBOT	11/28/2008	732,985,472	2,594,412	730,391,060	0	0
92	OANDA CORPORATION	N	NFA	11/30/2008	170,799,434	10,000,000	160,799,434	0	0
93	ODL SECURITIES INC	N	NFA	11/30/2008	18,982,172	10,000,000	8,982,172	0	0

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94	OPEN E CRY LLC	N	NFA	11/30/2008	2,227,120	623,944	1,603,176	52,721,063	645,655
95	OPPENHEIMER & CO INC	Y	NFA	11/28/2008	186,688,705	17,344,138	169,344,567	15,339,939	0
96	OPTIONSPRESS INC	Y	NFA	11/30/2008	80,095,620	5,864,817	74,230,803	39,286,932	396,109
97	PENSON GHCO	N	CBOT	11/30/2008	19,500,644	11,439,782	8,060,862	348,465,378	25,307,118
98	PEREGRINE FINANCIAL GROUP INC	N	NFA	11/30/2008	27,704,449	10,000,000	17,704,449	212,712,059	11,822,793
99	PIONEER FUTURES INC	N	NYME	11/30/2008	1,566,380	500,000	1,066,380	0	0
100	PRUDENTIAL BACHE COMMODITIES LLC	N	CBOT	11/30/2008	276,779,000	208,110,280	68,668,720	3,537,860,000	354,704,000
101	PUMA FINANCIAL LLC	N	NFA	11/28/2008	1,581,331	500,000	1,081,331	4,504,737	0
102	RAND FINANCIAL SERVICES INC	N	CME	11/30/2008	65,445,699	8,780,879	56,664,820	82,387,100	35,166,900
103	RAYMOND JAMES & ASSOCIATES INC	Y	NFA	11/30/2008	276,850,014	23,879,105	252,970,909	0	0
104	RBC CAPITAL MARKETS CORPORATION	Y	CME	11/28/2008	433,599,140	172,315,555	261,283,585	903,238,225	1,233,027,625
105	RBS GREENWICH CAPITAL INC	Y	CBOT	11/30/2008	1,851,602,000	208,791,669	1,642,810,331	1,804,779,000	29,190,000
106	RJ OBRIEN & ASSOCIATES LLC	N	CME	11/30/2008	115,788,116	57,883,622	57,904,494	1,977,039,832	27,572,598
107	ROBBINS FUTURES INC	N	NFA	11/30/2008	579,893	500,000	79,893	5,346,290	0
108	ROSENTHAL COLLINS GROUP LLC	N	CME	11/30/2008	88,673,339	31,842,407	56,830,932	1,062,493,038	25,010,896
109	ROSENTHAL GLOBAL SECURITIES LLC	Y	NFA	11/30/2008	31,820,918	1,694,247	30,126,671	0	0
110	SANFORD C BERNSTEIN & CO LLC	Y	NFA	11/28/2008	146,518,134	8,112,002	138,406,132	0	0
111	SANTANDER INVESTMENT SECURITIES INC.	Y	NFA	11/28/2008	94,434,084	500,000	93,934,084	0	0
112	SHAY GRAIN CLEARING COMPANY	N	KCBT	11/30/2008	2,571,558	685,654	1,885,904	15,356,791	0
113	SMW TRADING COMPANY INC	N	CME	11/30/2008	20,918,409	500,000	20,418,409	7,867,067	0
114	STEPHENS INC	Y	NFA	11/28/2008	78,292,437	9,799,210	68,493,227	0	0
115	STERLING COMMODITIES CORP	N	NYME	11/30/2008	9,689,487	725,052	8,964,435	41,377,275	999,612
116	TCA FUTURES LLC	N	NFA	11/30/2008	822,550	500,000	322,550	0	0
117	TD AMERITRADE INC.	Y	NFA	11/28/2008	93,035,719	250,000	92,785,719	0	0
118	TENCO INC	N	CBOT	11/30/2008	42,403,834	5,939,336	36,464,498	162,128,961	0
119	TERRA NOVA FINANCIAL, LLC	Y	NFA	11/30/2008	10,053,408	1,500,000	8,553,408	0	0
120	TIMBER HILL LLC	Y	CME	11/30/2008	1,072,720,959	10,042,435	1,062,678,524	156,027,308	0
121	TOWER RESEARCH CAPITAL EUROPE LLC	N	NFA	11/30/2008	2,888,469	500,000	2,388,469	0	0
122	TRADELINK LLC	Y	CBOT	11/30/2008	57,136,884	500,000	56,636,884	0	0
123	TRADESTATION SECURITIES INC	Y	NFA	11/30/2008	93,701,802	1,083,187	92,618,615	0	0
124	TRADITION SECURITIES AND FUTURES INC	N	NFA	11/30/2008	845,233	500,000	345,233	0	0
125	TRANSMARKET GROUP LLC	Y	CBOT	11/30/2008	22,227,419	500,000	21,727,419	0	0
126	TRILAND USA INC	N	NYME	11/30/2008	46,585,439	10,507,587	36,077,852	163,087,580	479,214
127	UBS FINANCIAL SERVICES INC	Y	NFA	11/28/2008	300,966,656	97,510,481	203,456,175	264,324,903	668,720
128	UBS SECURITIES LLC	Y	CBOT	11/30/2008	15,248,161,440	1,089,968,702	14,158,192,738	16,298,886,579	6,277,733,451
129	VELOCITY FUTURES LP	N	NFA	11/30/2008	1,011,926	500,000	511,926	43,450,369	358,390
130	VISION FINANCIAL MARKETS LLC	Y	CME	11/30/2008	26,740,303	5,712,739	21,027,564	239,698,827	155,236
131	WACHOVIA CAPITAL MARKETS LLC	Y	CME	11/30/2008	1,355,598,925	1,000,000	1,354,598,925	0	0
132	WACHOVIA SECURITIES FINANCIAL NETWORK, LLC	Y	NFA	11/30/2008	36,647,327	2,092,095	34,555,232	0	0
133	WACHOVIA SECURITIES LLC	Y	NFA	11/30/2008	669,158,914	22,940,307	646,218,607	0	0
134	WHITE COMMERCIAL CORPORATION	N	NFA	11/30/2008	2,791,940	500,000	2,291,940	0	0
135	WSD FINANCIAL USA, INC	N	NFA	11/30/2008	1,288,050	500,000	788,050	0	0
136	YORK BUSINESS ASSOCIATES LLC	N	NFA	11/30/2008	1,172,569	500,000	672,569	40,912,350	1,322,202
	TOTAL							166,558,495,345	44,042,376,224



