SELECTED FCM FINANCIAL DATA AS OF July 31, 2017 FROM REPORTS FILED BY August 23, 2017

Α	В	С	D	Е	F	G	Н		J	K	L	М	N	0	Р	Q	R	S	T	U
	Futures Commission Merchant / Retail Foreign Exchange Dealer	Registered As	DSRO	As of Date	Adjusted Net Capital	Net Capital Requirement	Excess Net Capital	Customers' Assets in Seg	Customers' Seg Required 4d(a)(2)	Excess/Deficient Funds in Seg	Target Residual Interest in Seg	Funds in Separate Section 30.7 Accounts	Customer Amount Pt. 30 Required	Excess/Deficient Funds in Separate Section	Target Residual Interest in Section 30.7	Funds in Separate Cleared Swap	Customer Amount Cleared Swap Seg	Excess/Deficient Funds in Cleared Swap Seg	Target Residual Interest in Cleared Swap	Total Amount of Retail Forex Obligation
<u>-</u> 1		(a)	(b)			(c)	(d)	(e)	(f)	(g)	(h)	(i)	(j)	30.7 Accounts (k)	(1)	Segregation (m)	Required (n)	Accounts (o)	Sea (p)	(p)
_3	I ABN AMRO CLEARING CHICAGO LLC	FCM BD	CBOT	07/31/17	615,735,252	150,612,140	465,123,112	2,724,799,036	2,412,579,270	312,219,766	156,706,657	156,253,511	126,672,662	29,580,849	12,667,266	0	0	0	0	0
	2 ADM INVESTOR SERVICES INC	FCM	CBOT	07/31/17	323,804,672	191,183,981	132,620,691	4,634,394,095	4,395,500,083	238,894,012	120,000,000	381,611,634	323,564,481	58,047,153	20,000,000	14,194,346	4,164,190	10,030,156	8,000,000	0
<u>_6</u> 3	ADVANTAGE FUTURES LLC	FCM	CME	07/31/17	25,173,570	9,278,852	15,894,718	493,011,814	480,375,904	12,635,910	8,500,000	34,504,522	32,892,542	1,611,980	1,000,000	0	0	0	0	
	A MP GLOBAL CLEARING LLC APERTURE LLC	FCM BD	NFA NFA	07/31/17 07/31/17	2,826,839 41,422,335	1,500,000 1,610,696	1,326,839 39,811,639	53,591,202 37,329,408	50,591,658 33,752,898	2,999,544 3,576,510	900,000	2,446,006	1,995,397	450,609 0	150,000	0	0	0	0	
9 6	APEX CLEARING CORPORATION	FCM BD	NFA	07/31/17	132,013,160	27,293,725	104,719,435	885,647	334,465	551,182	500,000	0	0	0	0	0	0	0	0	0
	7 BARCLAYS CAPITAL INC B BGC FINANCIAL LP	FCM BD	NYME NFA	07/31/17 07/31/17	7,045,415,859 45,110,424	1,127,434,493 1,873,441	5,917,981,366 43,236,983	5,343,510,983	4,761,879,850	581,631,133	261,903,392	3,768,952,032	3,363,173,765	405,778,267	168,831,323	6,326,725,200	5,393,180,054	933,545,146	214,109,248	0
12 9	BNP PARIBAS PRIME BROKERAGE INC	FCM BD	NYME	07/31/17	1,343,406,451	163,785,635	1,179,620,816	1,923,639,951	1,705,249,473	218,390,478	150,000,000	49,925,912	40,236,560	9,689,352	7,500,000	22,404,640	12,727,365	9,677,275	7,500,000	0
	BNP PARIBAS SECURITIES CORP	FCM BD	CBOT	07/31/17	1,475,870,175	218,107,701	1,257,762,474	1,217,182,379	1,114,052,903	103,129,476	82,500,000	95,360,753	66,821,381	28,539,372	22,500,000	944,727,603	793,357,932	151,369,671	120,000,000	
	BOCI COMMODITIES & FUTURES USA LLC CANTOR FITZGERALD & CO	FCM FCM BD	CME	07/31/17 07/31/17	8,359,731 213,921,043	1,002,491 8,430,025	7,357,240 205,491,018	33,139,059 4,969,921	27,863,652 0	5,275,407 4,969,921	1,000,000 3,000,000	6	0	6	0	0	0	0	1 0	
16 13	CHS HEDGING LLC	FCM	CBOT	07/31/17	42,218,252	11,191,966	31,026,286	216,577,163	160,719,528	55,857,635	13,600,000	1,521,036	0	1,521,036	200,000	503,912	0	503,912	120,000	0
17 14	CITIGROUP GLOBAL MARKETS INC CREDIT SUISSE SECURITIES (USA) LLC	FCM BD SD FCM BD	CBOT/NFA CBOT	07/31/17	11,149,822,994 9,800,963,779	1,909,347,390 1,527,123,300	9,240,475,604 8,273,840,479	7,193,064,436 6,102,942,525	6,771,327,396 5,701,083,664	421,737,040 401,858,861	311,700,000	1,825,129,686 3,395,794,072	1,538,108,051 3,195,166,832	287,021,635 200,627,240	150,000,000 159,758,342	18,438,957,525 13,549,686,208		456,623,084 1,069,235,213	317,375,000 624,022,550	0
	CUNNINGHAM COMMODITIES LLC	FCM	CBOT	07/31/17	5,108,460	1,527,123,300	3,561,219	83,338,889	79,763,113	3,575,776	285,054,183 3,050,000	866,919	423,380	443,539	50,000	13,349,000,200	12,460,430,993	1,009,233,213	024,022,330	0
	DAIWA CAPITAL MARKETS AMERICA INC	FCM BD	CME	07/31/17	632,013,042	7,779,671	624,233,371	2,170,140	0	2,170,140	1	575,566	0	575,566	1	0	0	0	0	0
	DEUTSCHE BANK SECURITIES INC DORMAN TRADING LLC	FCM BD FCM	CBOT CME	07/31/17 07/31/17	12,449,583,348 11,240,072	675,127,413 2,314,789	11,774,455,935 8,925,283	3,188,818,434 187,268,920	2,986,809,098 183,256,715	202,009,336 4,012,205	125,000,000 2,000,000	850,881,320 4,718,691	724,692,580 3,926,951	126,188,740 791,740	75,000,000 200,000	3,200,001	0	3,200,001	1,000,000	0
	E D & F MAN CAPITAL MARKETS INC	FCM BD	CME	07/31/17	133,927,551	54,054,786	79,872,765	1,435,502,943	1,361,258,775	74,244,168	35,000,000	53,303,449	44,882,097	8,421,352	5,000,000	2,886,179	1,737,554	1,148,625	500,000	0
24 2:	E TRADE SECURITIES LLC EFL FUTURES LIMITED	FCM BD FCM	NFA CME	07/31/17	1,112,977,790	181,716,846	931,260,944	71,064,296	52,304,046	18,760,250	10,000,000	1,000,000	0	1,000,000	1,000,000	0	0	0	0	0
	GAIN CAPITAL GROUP LLC	FCMRFD	NFA	07/31/17 07/31/17	41,636,537 44,611,380	19,635,823 33,403,288	22,000,714 11,208,092	258,322,999 223,683,857	244,247,182 211,170,684	14,075,817 12,513,173	5,000,000 10,000,000	4,276,977	2,576,853	1,700,124	1,000,000	0	0	0	0	-
27 24	FINANCIALS LLC	FCM	CME	07/31/17	21,998,392	6,814,396	15,183,996	103,722,295	91,016,356	12,705,939	4,000,000	3,143,315	2,047,955	1,095,360	550,000	0	0	0	0	0
	GOLDMAN SACHS & CO LLC HSBC SECURITIES USA INC	FCM BD SD FCM BD	CBOT/NFA CME	07/31/17	18,234,354,242 926,978,839	2,442,735,430 262,554,600	15,791,618,812 664,424,239	21,505,955,030 1,324,104,302	20,632,586,196 1,243,142,471	873,368,834 80,961,831	675,000,000 70,000,000	11,472,677,093 221,174,336	10,885,883,319 187,034,940	586,793,774 34,139,396	475,000,000 25,000,000	4,508,792,059 1,505,980,257	4,046,136,607 1,371,042,517	462,655,452 134,937,740	350,000,000 120,000,000	
30 2	7 INTERACTIVE BROKERS LLC	FCM BD	CME	07/31/17	3,639,710,822	373,127,753	3,266,583,069	4,468,248,371	4,266,625,278	201,623,093	155,000,000	494,770,504	368,474,911	126,295,593	80,000,000	1,303,960,237	1,3/1,042,31/	134,937,740	120,000,000	44,147,956
	B INTL FCSTONE FINANCIAL INC	FCM BD	CME	07/31/17	165,871,337	77,782,796	88,088,541	2,228,865,059	2,179,258,172	49,606,887	35,000,000	153,342,890	137,117,362	16,225,528	8,000,000	0	0	0	0	0
	P IRONBEAM INC D JEFFERIES LLC	FCM BD	NFA NFA	07/31/17 07/31/17	3,727,851 1,575,650,963	1,284,233 89,815,851	2,443,618 1,485,835,112	75,200,158	73,662,380	1,537,778	800,000	518,820	294,899	223,921	50,000	0	0	0	0	-
	JP MORGAN SECURITIES LLC	FCM BD SD	CEI/NFA		14,755,414,345	2,766,734,442	11,988,679,903	17,420,814,861	16,026,816,330	1,393,998,531	881,474,898	4,780,102,546	4,346,468,834	433,633,712	369,449,851				713,473,789	0
	MACQUARIE FUTURES USA LLC MAREX NORTH AMERICA LLC	FCM	CBOT	07/31/17	278,800,432	131,465,573	147,334,859	1,906,108,481	1,693,491,449	212,617,032	100,000,000	62,871,828	43,278,116	19,593,712	7,000,000	22,707,769	3,969,950	18,737,819	1,000,000	
	MAREX NORTH AMERICA LLC MCVEAN TRADING & INVESTMENTS LLC	FCM FCM	CME NFA	07/31/17 07/31/17	23,606,574 14,413,390	15,912,007 1,745,024	7,694,567 12,668,366	194,228,569 770,649,847	190,442,565 749,211,489	3,786,004 21,438,358	2,800,000 18,000,000	61,856,041 2,567,861	60,752,203	1,103,838 2,567,861	500,000 1,000,000	0	0	0	0	0
38 35	MERRILL LYNCH PIERCE FENNER & SMITH INCORPORATED	FCM BD	CBOT	07/31/17	13,092,154,688	1,623,659,459	11,468,495,229	12,943,196,576	12,624,535,440	318,661,136	200,000,000	4,192,683,620	3,932,691,346	259,992,274	150,000,000	5,730,444,148	5,416,640,509	313,803,639	150,000,000	
	MERRILL LYNCH PROFESSIONAL CLEARING CORP MID CO COMMODITIES INC	FCM BD FCM	NFA NFA	07/31/17 07/31/17	3,321,862,152 8,688,362	623,759,990 2,840,683	2,698,102,162 5,847,679	1,504,345,498 37,114,455	1,116,071,005 27,801,340	388,274,493 9,313,115	300,000,000 5,138,000	0	0	0	0	0	0	0	0	
	MINT BROKERS	FCM BD	NFA	07/31/17	4,958,701	1,000,000	3,958,701	37,114,433	27,801,340	9,313,113	3,136,000	0	0	0	0	0	0	0	0	
	MIZUHO SECURITIES USA LLC MORGAN STANLEY & CO LLC	FCM BD SD	CME/NFA CMF/NFA		681,666,978	214,389,071	467,277,907 8,625,542,224	2,573,805,379 14,665,469,378	2,371,926,231 14,393,874,592	201,879,148	125,000,000 210,000,000	708,067,087 4,813,593,190	584,995,879 4,604,910,370	123,071,208 208,682,820	70,000,000	34,294,770 12,741,367,731	4,152,449 12,541,238,463	30,142,321 200,129,268	15,000,000 92,000,000	
	I NANHUA USA LLC	FCM BD SD FCM	CME/INFA	07/31/17 07/31/17	10,681,026,294 18,259,441	2,055,484,070 2,767,918	15,491,523	69,109,268	56,904,996	271,594,786 12,204,272	3,500,000	4,813,593,190	4,604,910,370	208,082,820	160,000,000	12,741,367,731	12,541,238,463	200,129,268	92,000,000	0
	NOMURA SECURITIES INTERNATIONAL INC OANDA CORPORATION	FCM BD FCMRFD	CBOT	07/31/17	1,348,965,044	168,290,287	1,180,674,757	236,624,575	132,571,557	104,053,018	15,000,000	17,894,643	13,781	17,880,862	1,000,000	0	0	0	0	0 163,500,396
_47 44	4 OPTIONSXPRESS INC	FCM BD	NFA	07/31/17 07/31/17	78,777,131 291,997,171	52,394,182 7,290,842	26,382,949 284,706,329	117,637,998	73,733,189	43,904,809	11,059,978	2,380,820	881,580	1,499,240	132,237	0	0	0	0	103,300,390
48 49	PHILLIP CAPITAL INC	FCM BD	CME	07/31/17	32,798,881	14,075,396	18,723,485	270,759,832	255,385,742	15,374,090	5,000,000	11,468,010	8,488,513	2,979,497	2,000,000	0	0	0	0	0
	PICTET OVERSEAS INC RAND FINANCIAL SERVICES INC	FCM BD FCM	NFA CME	07/31/17 07/31/17	18,684,779 85,375,507	1,000,000 12,985,152	17,684,779 72,390,355	1,500,000 219,270,900	177,587,300	1,500,000 41,683,600	1,500,000 5,327,600	500,000 42,730,500	18,957,900	500,000 23,772,600	500,000 568,700	0	0	0	0	
51 48	RBC CAPITAL MARKETS LLC	FCM BD	CME	07/31/17	1,977,767,050	212,741,167	1,765,025,883	2,792,474,593	2,521,509,045	270,965,548	200,000,000	305,043,144	261,707,873	43,335,271	20,000,000	652,591,208	393,256,686	259,334,522	40,000,000	
	P RBS SECURITIES INC P RJ OBRIEN ASSOCIATES LLC	FCM BD FCM SD	CBOT CME/NFA	07/31/17	609,033,457	33,822,203	575,211,254	647,240,001 4,139,371,484	496,575,725 4,049,901,580	150,664,276	125,000,000	59,017,200	28,853,622	30,163,578	25,000,000	0	0	0	0	
	ROSENTHAL COLLINS GROUP LLC	FCM	CME	07/31/17 07/31/17	211,428,044 80,825,479	151,172,210 53,362,691	60,255,834 27,462,788	1,378,388,851	1,351,636,548	89,469,904 26,752,303	50,000,000 18,000,000	252,580,754 47,142,497	227,202,707 34,250,590	25,378,047 12,891,907	20,000,000 8,000,000	0	0	0	0	
	SANTANDER INVESTMENT SECURITIES INC	FCM BD	NYME	07/31/17	169,473,379	23,653,635	145,819,744	772,367,059	761,637,395	10,729,664	8,000,000	0	0	0	0	0	0	0	0	
	S SCOTIA CAPITAL USA INC SG AMERICAS SECURITIES LLC	FCM BD FCM BD	CME	07/31/17 07/31/17	631,683,410 4,296,382,776	44,549,929 1,125,525,287	587,133,481 3,170,857,489	13,179,218,992	12,366,041,767	813,177,225		4,594,575,601		551,938,288	255,000,000	621,790,131	373,255,977	248,534,154	75,000,000	
58 59	STRAITS FINANCIAL LLC	FCM	CME	07/31/17	21,671,146	7,456,299	14,214,847	194,537,177	179,886,758	14,650,419	2,000,000	34,734,723	28,785,908	5,948,815	300,000	0	0	0	0	0
_00 5	TD AMERITRADE FUTURES & FOREX LLC TRADESTATION SECURITIES INC	FCM BD	NFA CME	07/31/17 07/31/17	71,066,004 77,010,604	22,428,252 4,267,490	48,637,752 72,743,114	284,415,336 559,965,308	224,509,688 546,150,050	59,905,648 13,815,258	25,000,000 9,000,000	24,446,185	21,893,278	2,552,907	800,000	0	0	0	0	58,565,043 0
	B UBS FINANCIAL SERVICES INC	FCM BD	NFA	07/31/17	1,474,913,997	125,000,459	1,349,913,538	112,528,941	79,294,282	33,234,659	9,000,000	35,048,416	6,853,963	28,194,453	15,000,000	0	0	0	0	0
	UBS SECURITIES LLC UOBBF CLEARING LIMITED	FCM BD FCM	CBOT	07/31/17 07/31/17	5,576,819,868 25,921,961	796,701,148 3,696,658	4,780,118,720 22,225,303	7,122,824,941 100.036.818	6,621,516,245 76,483,179	501,308,696 23,553,639	425,000,000 2,000,000	3,539,124,743 0	3,163,706,697 0	375,418,046 0	300,000,000	934,086,553	660,710,121	273,376,432 0	200,000,000	
64 6:	WEDBUSH SECURITIES INC	FCM BD	CBOT	07/31/17	166,515,159	23,517,441	142,997,718	1,498,187,029	1,480,319,235	17,867,794	13,000,000	18,870,040	15,963,346	2,906,694	1,000,000	0	0	0	0	0
	WELLS FARGO SECURITIES LLC YORK BUSINESS ASSOCIATES LLC	FCM BD FCM	CME NFA	07/31/17 07/31/17	8,543,075,410 4,846,938	842,577,592 1,000,000	7,700,497,818 3,846,938	3,985,668,002 43,718,468	3,670,219,633 39,277,244	315,448,369 4,441,224	225,000,000 2,000,000	415,737,134 1,907,242	350,948,056 1,453,660	64,789,078 453,582	25,000,000 200,000	7,484,893,969	6,754,546,208	730,347,761	500,000,000	0
67		1 (11)	111.7	0,131/11	1,070,330	1,000,000	3,070,330						•							
68	Totals							154,876,881,929	145,575,722,809	9,301,159,120	6,036,614,709	46,997,692,875	42,831,682,453	4,166,010,422	2,645,907,721	85,902,614,353	79,648,482,637	6,254,131,716	3,549,100,588	518,877,020
70	June Web Page Update	63		+ -																
71																				
_72 73	Additions None	0	 	+																
74																				
75 76	Deletions None	0																		
_ / 7	None																			
78	Name Changes																			
80	None																			
81	July Web Page Update	63																		
_82 83																				
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SELECTED FCM FINANCIAL DATA AS OF July 31, 2017 FROM REPORTS FILED BY August 23, 2017

A B C D E F	G H I	J K	L M	N O	Р (Q R	S T U
84 (a): FCM: Futures Commission Merchant that is registered with the Commodity Futures Trading Commission.		<u> </u>	<u> </u>				
85 BD: The FCM is also registered with the Securities and Exchange Commission as a securities broker or dealer.				·	·	·	·
86 RFED: Retail Foreign Exchange Dealer that is registered with the Commodity Futures Trading Commission.							
87 FCMRFD: The FCM is also registered with the Commodity Futures Trading Commission as a Retail Foreign Exchange Deale							
88 SD: The FCM or RFED is also registered with the Commodity Futures Trading Commission as a provisionally register	ered swap dealer.						
(b): DSRO: Designated Self-Regulatory Organization. FCM/RFED firms that are dually registered as SDs have two DSROs listed	d if the change of different DCDO for the ECM/DEED and the	ha CD. The DCDO for the FCM/DFFD is listed for					
(b): DSRO: Designated Self-Regulatory Organization. FCM/RFED firms that are dually registered as SDs have two DSROs listed	II triey have a different DSRO for the FCM/RFED and the	ne SD. The DSRO for the FCM/RFED is listed to	st and the DSRO for the SD is listed second				
92 (c): A firm's net capital requirement is the greater of:							
E93							
94 • FCM minimum dollar amount (\$1,000,000); or							
• risk based capital requirement, the sum of 8% of total customer risk maintenance margin and 8% of total non-customer risk	maintenance margin; or						
the amount of capital required by a registered futures association (currently NFA is the only such association); or							
• for securities brokers and dealers, the amount of net capital required by Rule 15c3-1(a) of the Securities and Exchange Comp	mission; or						
 98 • minimum dollar amount for FCM's offering or engaged in retail forex transactions and RFEDs (\$20.000.000); or 99 • minimum dollar amount for FCM's offering or engaged in retail forex transactions and RFEDs (\$20,000,000) plus five percent 	t of the ECM's or REED's total retail forey obligation in ex	excess of \$10,000,000					
100 Total Total Constitution of the Constitu	or die i die i die die i die die de						
101 (d): Excess net capital is adjusted net capital, less the firm's net capital requirement.							
102							
103 (e): This represents the total amount of money, securities, and property held in segregated accounts for futures and options cus	ustomers in compliance with Section 4d of the Commodit	itv Exchange Act.					
104							
(f): This represents the total amount of funds that an FCM is required to segregate on behalf of customers who are trading on a	a designated contract market or derivatives transaction e	execution facility. This is the sum of all account	ts that contain a net liquidating equity.				
107 (g): Excess/Deficient funds in segregation is customer assets in segregation, less the customer segregation requirement.							
108 1							
(h): This represents the targeted excess of proprietary funds deposited into customer segregated accounts above the customer	r segregation requirement. This target amount is set by	the firm.					
110							
(i): This represents the total amount of money, securities, and property held in secured accounts for futures and options custom	mers who trade on commodity exchanges located outside	de the United States in compliance with Part 30	of the Commodity Exchange Act.				
112	and a staid of the Heited Ctates						
(i): This represents the amount of funds an FCM is required to set aside for customers who trade on commodity exchanges local	ated outside of the United States.						
(k): Excess/Deficient funds in separate Section 30.7 accounts is funds in separate Section 30.7 accounts, less the customer amo	nount Part 30 requirement.						
116	odne i dre so regali emena						
[117] (I): This represents the targeted excess of proprietary funds deposited into separate Section 30.7 accounts above the customer	r amount Part 30 requirement. This target amount is set	et by the firm.					
118							
[119] (m): This represents the total amount of money, securities, and property held in cleared swap customer accounts for cleared sw	wap customers in compliance with Section 4d(f) of the Co	Commodity Exchange Act.					
120 120 120 120 120 120 120 120 120 120							
[12] (n): This represents the amount of funds an FCM is required to segregate for customers who trade cleared swaps.							
(o): Excess/Deficient funds in cleared swap customer accounts is funds in separate cleared swap segregation accounts, less the	e cleared swap customer segregation requirement						
124							
(p): This represents the targeted excess of proprietary funds deposited into separate cleared swap segregation accounts above	e the cleared swap customer segregation requirement. 7	This target amount is set by the firm.		<u> </u>	<u> </u>	·	<u> </u>
126				<u> </u>			
(a): This represents the total amount of funds at an FCM, RFED, or FCMRFD that would be obtained by combining all money, se	securities and property deposited by a retail forex custom	mer into a retail forex account or accounts, adio	sted for the realized and unrealized net pro	fit or loss.			
120			+	+			