

SELECTED FCM FINANCIAL DATA AS OF
June 30, 2012
FROM REPORTS FILED BY
August 1, 2012

	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O
1			Reg As	DSRO	A/O	Adjusted	Net Capital	Excess	Customers'	Customers'	Excess/Deficient	Funds in Separate	Customer	Excess/Deficient	Total Amount
2		Futures Commission Merchant / Retail Foreign Exchange Dealer			Date	Net Capital	Requirement	Net Capital	Assets	Seg Required	Funds in Seg	Section 30.7	Amount Pt. 30	Funds in Separate	of Retail Forex
3								in Seg	4d(a)(2)		Accounts	Required	Section 30.7	Obligation	
4														Accounts	
5				(a)	(b)		(c)	(d)	(e)	(f)	(g)	(h)	(i)	(j)	(k)
6															
7	1	ABN AMRO CLEARING CHICAGO LLC	FCM BD	CBOT	6/30/2012	254,726,542	153,504,649	101,221,893	2,320,539,985	2,159,132,984	161,407,001	423,013,768	395,473,141	27,540,627	0
8	2	ADM INVESTOR SERVICES INC	FCM	CBOT	6/30/2012	275,200,366	152,272,116	122,928,250	2,881,472,526	2,645,064,020	236,408,506	200,055,591	141,248,193	58,807,398	107,982
9	3	ADVANCED MARKETS LLC	FCMRFD	NFA	6/30/2012	20,362,708	20,000,000	362,708	0	0	0	0	0	0	812,973
10	4	ADVANTAGE FUTURES LLC	FCM	CME	6/29/2012	20,579,736	11,547,806	9,031,930	464,716,057	452,250,583	12,465,474	51,673,406	48,270,818	3,402,588	0
11	5	ALPARI (US) LLC	FCMRFD	NFA	6/29/2012	27,819,437	20,313,840	7,505,597	2,774,266	533,060	2,241,206	254,906	56,640	198,266	16,276,801
12	6	AMERIPRISE FINANCIAL SERVICES INC	FCM BD	NFA	6/30/2012	134,540,671	1,000,000	133,540,671	0	0	0	0	0	0	0
13	7	AMP GLOBAL CLEARING LLC	FCM	NFA	6/30/2012	2,527,606	1,500,000	1,027,606	36,667,325	35,334,091	1,333,234	402,268	6,826	395,442	0
14	8	BARCLAYS CAPITAL INC	FCM BD	NYME	6/30/2012	6,222,923,768	969,603,813	5,253,319,955	7,057,367,523	6,817,594,513	239,773,010	3,277,668,148	3,111,773,668	165,894,480	0
15	9	BGC FINANCIAL LP	FCM BD	NFA	6/29/2012	63,166,104	1,000,000	62,166,104	0	0	0	0	0	0	0
16	10	BNP PARIBAS PRIME BROKERAGE INC	FCM BD	NYME	6/30/2012	2,260,261,212	309,813,727	1,950,447,485	1,085,730,900	1,026,423,126	59,307,774	564,914,834	480,739,743	84,175,091	0
17	11	BNP PARIBAS SECURITIES CORP	FCM BD	CBOT	6/30/2012	1,892,013,466	98,464,987	1,793,548,479	451,078,375	376,672,838	74,405,537	0	0	0	0
18	12	BNY MELLON CLEARING LLC	FCM	CME	6/30/2012	258,667,204	8,528,409	250,138,795	329,190,041	181,073,486	148,116,555	7,649,709	6,370,345	1,279,364	0
19	13	BOCI COMMODITIES & FUTURES USA LLC	FCM	NFA	6/30/2012	4,983,983	1,000,000	3,983,983	0	0	0	0	0	0	0
20	14	CANTOR FITZGERALD & CO	FCM BD	CBOT	6/29/2012	255,894,233	7,335,469	248,558,764	11,028,731	6,078,703	4,950,028	0	0	0	0
21	15	CAPITAL MARKET SERVICES LLC	FCM	NFA	6/30/2012	2,799,393	1,000,000	1,799,393	0	0	0	0	0	0	0
22	16	CIBC WORLD MARKETS CORP	FCM BD	CME	6/29/2012	468,849,337	1,500,000	467,349,337	0	0	0	0	0	0	0
23	17	CITADEL SECURITIES LLC	FCM BD	CME	6/30/2012	348,000,572	1,000,000	347,000,572	0	0	0	0	0	0	0
24	18	CITIGROUP GLOBAL MARKETS INC	FCM BD	CBOT	6/30/2012	7,073,235,358	703,288,723	6,369,946,635	8,702,963,617	8,689,417,853	13,545,764	1,669,241,167	1,314,120,020	355,121,147	0
25	19	COMMONWEALTH FOREIGN EXCHANGE INC	FCM	NFA	6/30/2012	1,892,429	1,000,000	892,429	0	0	0	0	0	0	0
26	20	COUNTRY HEDGING INC	FCM	NFA	6/30/2012	20,373,223	14,820,897	5,552,326	200,772,634	181,611,523	19,161,111	598,507	323,555	274,952	0
27	21	CREDIT SUISSE SECURITIES (USA) LLC	FCM BD	CBOT	6/30/2012	5,425,036,788	1,236,415,980	4,188,620,808	7,052,102,871	6,014,239,501	1,037,863,370	2,314,743,963	1,772,216,118	542,527,845	0
28	22	CROSSLAND LLC	FCM	CBOT	6/30/2012	7,539,793	2,231,621	5,308,172	80,483,289	78,019,955	2,463,334	18,562,890	17,436,425	1,126,465	0
29	23	CUNNINGHAM COMMODITIES LLC	FCM	CBOT	6/30/2012	2,960,015	1,606,899	1,353,116	78,881,487	77,593,316	1,288,171	1,533,873	1,455,996	77,877	0
30	24	CX CAPITAL MARKETS LLC	FCM BD	NFA	6/30/2012	3,372,850	1,000,000	2,372,850	33,360,202	31,367,375	1,992,827	0	0	0	0
31	25	DAIWA CAPITAL MARKETS AMERICA INC	FCM BD	CME	6/30/2012	317,863,082	4,029,969	313,833,113	11,046,587	6,387,614	4,658,973	1,036,778	0	1,036,778	0
32	26	DEUTSCHE BANK SECURITIES INC	FCM BD	CBOT	6/30/2012	8,211,457,820	483,237,533	7,728,220,287	13,364,818,863	12,777,870,633	586,948,230	1,287,434,318	1,153,740,928	133,693,390	0
33	27	DORMAN TRADING LLC	FCM	CME	6/30/2012	9,376,476	1,576,773	7,799,703	135,957,059	134,011,867	1,945,192	3,135,492	1,751,169	1,384,323	0
34	28	EAGLE MARKET MAKERS INC	FCM	CBOT	6/29/2012	5,950,618	1,000,000	4,950,618	845,615	391,657	453,958	0	0	0	0
35	29	EASY FOREX US LTD	FCM	NFA	6/30/2012	1,540,886	1,000,000	540,886	0	0	0	0	0	0	0
36	30	ENSKILDA FUTURES LTD	FCM	CME	6/29/2012	39,362,029	17,874,652	21,487,377	210,990,237	204,318,039	6,672,198	0	0	0	0
37	31	FCSTONE LLC	FCM	CME	6/30/2012	109,969,187	78,733,815	31,235,372	1,552,263,386	1,512,358,803	39,904,583	82,414,793	70,424,344	11,990,449	0
38	32	FOREX CAPITAL MARKETS LLC	FCMRFD	NFA	6/30/2012	58,098,494	31,080,840	27,017,654	0	0	0	0	0	0	231,616,792
39	33	FOREX CLUB LLC	FCMRFD	NFA	6/29/2012	22,275,271	22,000,000	275,271	0	0	0	0	0	0	3,630,658
40	34	FRIEDBERG MERCANTILE GROUP INC	FCM	NFA	6/30/2012	2,811,370	1,000,000	1,811,370	11,626,225	9,039,453	2,586,772	827,432	751,953	75,479	0
41	35	FRONTIER FUTURES INC	FCM	NFA	6/30/2012	1,949,384	1,000,000	949,384	28,614,360	27,620,617	993,743	0	0	0	0
42	36	FX SOLUTIONS LLC	FCMRFD	NFA	6/30/2012	27,260,354	20,395,168	6,865,186	0	0	0	0	0	0	17,903,362
43	37	FXDIRECTDEALER LLC	FCMRFD	NFA	6/30/2012	26,628,501	21,423,378	5,205,123	0	0	0	0	0	0	38,467,569
44	38	GAIN CAPITAL GROUP LLC	FCMRFD	NFA	6/30/2012	56,721,650	24,691,788	32,029,862	0	0	0	0	0	0	103,835,757
45	39	GH FINANCIALS LLC	FCM	CME	6/30/2012	8,656,158	1,000,000	7,656,158	822,302	682,834	139,468	0	0	0	0
46	40	GLOBAL FUTURES & FOREX LTD	FCMRFD	NFA	6/30/2012	70,761,096	23,835,005	46,926,091	436,584	96,631	339,953	0	0	0	86,700,108
47	41	GOLDMAN SACHS & CO	FCM BD	CBOT	6/29/2012	13,785,931,682	1,777,886,245	12,008,045,437	21,497,936,615	21,003,807,558	494,129,057	9,419,318,384	8,938,800,360	480,518,024	0
48	42	GOLDMAN SACHS EXECUTION & CLEARING LP	FCM BD	CME	6/30/2012	2,140,484,099	105,733,786	2,034,750,313	1,376,394,027	803,265,857	573,128,170	81,252,876	35,852,286	45,400,590	0
49	43	GUGGENHEIM SECURITIES LLC	FCM BD	NFA	6/30/2012	71,107,989	1,767,904	69,340,085	0	0	0	0	0	0	0
50	44	HSBC SECURITIES USA INC	FCM BD	CME	6/30/2012	1,138,001,749	96,776,788	1,041,224,961	958,786,884	908,482,668	50,304,216	133,979,278	106,343,852	27,635,426	0
51	45	IBFX INC	RFED	NFA	6/30/2012	27,266,380	23,055,279	4,211,101	0	0	0	0	0	0	71,105,574
52	46	ICAP CORPORATES LLC	FCM BD	NFA	6/30/2012	44,325,866	1,179,509	43,146,357	0	0	0	0	0	0	0
53	47	IKON GLOBAL MARKETS INC	FCM	NFA	6/30/2012	10,316,943	1,000,000	9,316,943	9,283,475	8,260,623	1,022,852	0	0	0	0
54	48	INSTINET LLC	FCM BD	NFA	6/30/2012	109,161,451	1,338,048	107,823,403	0	0	0	0	0	0	0
55	49	INSTITUTIONAL LIQUIDITY LLC	FCMRFD	NFA	6/30/2012	21,717,664	20,264,215	1,453,449	0	0	0	0	0	0	15,284,302
56	50	INTEGRATED BROKERAGE SERVICES LLC	FCM	NFA	6/30/2012	1,315,009	1,000,000	315,009	1,058	0	1,058	0	0	0	0
57	51	INTERACTIVE BROKERS LLC	FCM BD	NFA	6/30/2012	1,397,619,128	191,193,157	1,206,425,971	1,741,756,312	1,530,898,398	210,857,914	470,077,079	350,142,128	119,934,951	29,065,585
58	52	IRONBEAM INC	FCM	NFA	6/30/2012	2,553,569	1,000,000	1,553,569	43,986,577	42,335,724	1,650,853	111,398	7,785	103,613	0
59	53	ITG DERIVATIVES LLC	FCM BD	NFA	6/30/2012	4,112,650	1,000,000	3,112,650	0	0	0	0	0	0	0
60	54	JEFFERIES & COMPANY INC	FCM BD	NFA	6/30/2012	849,471,878	51,444,716	798,027,162	0	0	0	0	0	0	0
61	55	JEFFERIES BACHE LLC	FCM	CBOT	6/30/2012	232,105,000	164,065,360	68,039,640	2,218,959,000	2,117,596,000	101,363,000	591,117,000	506,477,000	84,640,000	0
62	56	JP MORGAN CLEARING CORP	FCM BD	NFA	6/30/2012	7,285,889,759	1,954,878,596	5,331,011,163	801,362,644	550,995,791	250,366,853	456,013,237	249,084,713	206,928,524	0

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3								in Seg	4d(a)(2)		Accounts	Required	Section 30.7	Obligation	
4			(a)	(b)		(c)	(d)	(e)	(f)	(g)	(h)	(i)	(j)	(k)	
5															
6															
63	57	JP MORGAN SECURITIES LLC	FCM BD	CEI	6/30/2012	11,780,440,354	1,435,523,981	10,344,916,373	17,671,109,260	15,919,190,844	1,751,918,416	3,801,138,300	3,407,396,141	393,742,159	0
64	58	KNIGHT EXECUTION AND CLEARING SERVICES LLC	FCM BD	CME	6/30/2012	93,734,591	20,746,722	72,987,869	422,395,833	412,264,693	10,131,140	10,214,626	7,094,396	3,120,230	24,934,437
65	59	LEK SECURITIES CORPORATION	FCM BD	NFA	6/30/2012	11,833,919	1,500,000	10,333,919	5,184,026	3,161,882	2,022,144	0	0	0	0
66	60	LINN GROUP THE	FCM	NFA	6/29/2012	2,707,438	1,847,485	859,953	72,249,751	68,623,387	3,626,364	310,644	182,926	127,718	0
67	61	LIQUID FUTURES LLC	FCM	NFA	6/30/2012	1,384,268	1,030,000	354,268	0	0	0	0	0	0	0
68	62	LPL FINANCIAL LLC	FCM BD	NFA	6/30/2012	124,777,229	6,041,966	118,735,263	0	0	0	0	0	0	0
69	63	MACQUARIE FUTURES USA LLC	FCM	CBOT	6/30/2012	131,334,999	55,611,684	75,723,315	737,414,952	633,277,332	104,137,620	112,838,707	74,744,101	38,094,606	0
70	64	MAREX NORTH AMERICA LLC	FCM	CME	6/30/2012	13,043,127	5,737,803	7,305,324	84,592,769	78,373,168	6,219,601	6,018,001	5,421,644	596,357	0
71	65	MAREX USA LIMITED	FCM	NFA	6/30/2012	2,790,572	1,000,000	1,790,572	0	0	0	0	0	0	0
72	66	MB TRADING FUTURES INC	FCMRFD	NFA	6/30/2012	23,546,477	21,095,488	2,450,989	0	0	0	0	0	0	31,909,751
73	67	MBF CLEARING CORP	FCM	NFA	6/30/2012	1,311,027	1,000,000	311,027	2,748	2,748	0	0	0	0	0
74	68	MCVEAN TRADING & INVESTMENTS LLC	FCM	NFA	6/30/2012	14,202,527	3,398,444	10,804,083	930,701,383	909,327,266	21,374,117	391,789	0	391,789	0
75	69	MERRILL LYNCH PIERCE FENNER & SMITH	FCM BD	CBOT	6/30/2012	10,794,177,946	684,419,129	10,109,758,817	8,140,120,376	7,658,637,802	481,482,574	2,381,321,475	2,146,087,341	235,234,134	0
76	70	MERRILL LYNCH PROFESSIONAL CLEARING CORP	FCM BD	NFA	6/30/2012	1,700,177,129	219,257,615	1,480,919,514	1,575,505,315	938,452,780	637,052,535	137,967,627	36,214,264	101,753,363	0
77	71	MID CO COMMODITIES INC	FCM	NFA	6/30/2012	10,017,182	5,263,295	4,753,887	52,240,278	36,210,296	16,029,982	405,646	246	405,400	0
78	72	MITSUBISHI UFJ SECURITIES USA INC	FCM BD	NFA	6/30/2012	237,878,300	1,419,492	236,458,808	0	0	0	0	0	0	0
79	73	mitsui BUSSAN COMMODITIES USA INC	FCM	NFA	6/30/2012	2,953,627	1,000,000	1,953,627	0	0	0	0	0	0	0
80	74	MIZUHO SECURITIES USA INC	FCM BD	CME	6/30/2012	317,924,569	117,021,351	200,903,218	847,700,104	757,076,948	90,623,156	830,882,623	702,441,302	128,441,321	0
81	75	MORGAN KEEGAN & COMPANY INC	FCM BD	NFA	6/30/2012	223,265,170	7,977,397	215,287,773	0	0	0	0	0	0	0
82	76	MORGAN STANLEY & CO LLC	FCM BD	CME	6/30/2012	6,943,064,123	1,261,366,433	5,681,697,690	7,509,914,433	7,402,599,561	107,314,872	1,783,133,214	1,676,482,559	106,650,655	0
83	77	MORGAN STANLEY SMITH BARNEY LLC	FCM BD	NFA	6/29/2012	1,909,542,814	82,661,451	1,826,881,363	387,990,631	345,131,158	42,859,473	50,334,275	23,420,324	26,913,951	0
84	78	NATIXIS SECURITIES AMERICAS LLC	FCM BD	NFA	6/30/2012	344,879,520	1,500,000	343,379,520	0	0	0	0	0	0	0
85	79	NEUBERGER BERMAN LLC	FCM BD	NFA	6/30/2012	12,705,827	1,500,000	11,205,827	0	0	0	0	0	0	0
86	80	NEWEDGE USA LLC	FCM BD	NYME	6/30/2012	1,881,430,972	1,195,031,568	686,399,404	15,899,930,151	15,378,794,694	521,135,457	5,539,554,145	4,983,652,441	555,901,704	0
87	81	NOMURA SECURITIES INTERNATIONAL INC	FCM BD	CBOT	6/29/2012	2,098,655,125	39,071,155	2,059,583,970	74,030,159	53,540,304	20,489,855	1,388,000	201,205	1,186,795	0
88	82	OANDA CORPORATION	FCMRFD	NFA	6/30/2012	144,791,984	28,158,107	116,633,877	0	0	0	0	0	0	173,162,133
89	83	OPEN E CRY LLC	FCM	NFA	6/30/2012	1,567,930	1,000,000	567,930	96,304,907	93,290,849	3,014,058	3,267,212	2,578,619	688,593	0
90	84	OPPENHEIMER & CO INC	FCM BD	NFA	6/30/2012	142,355,495	24,041,136	118,314,359	34,516,891	21,515,456	13,001,435	0	0	0	0
91	85	OPTIONSXPRESS INC	FCM BD	NFA	6/30/2012	70,712,983	4,733,427	65,979,556	100,279,710	85,721,901	14,557,809	6,970,413	3,742,834	3,227,579	0
92	86	PHILLIP FUTURES INC	FCM	CME	6/30/2012	28,162,840	3,703,475	24,459,365	110,473,365	86,902,728	23,570,637	5,099,969	0	5,099,969	0
93	87	PIONEER FUTURES INC	FCM	NFA	6/30/2012	1,797,974	1,000,000	797,974	0	0	0	0	0	0	0
94	88	RAND FINANCIAL SERVICES INC	FCM	CME	6/30/2012	75,954,158	11,201,754	64,752,404	127,508,500	88,196,400	39,312,100	39,068,100	16,669,600	22,398,500	0
95	89	RAYMOND JAMES & ASSOCIATES INC	FCM BD	NFA	6/29/2012	299,648,559	31,681,327	267,967,232	0	0	0	0	0	0	0
96	90	RBC CAPITAL MARKETS LLC	FCM BD	CME	6/29/2012	1,471,159,802	102,734,116	1,368,425,686	717,832,487	676,928,146	40,904,341	91,082,729	62,241,880	28,840,849	0
97	91	RBS SECURITIES INC	FCM BD	CBOT	6/30/2012	3,992,096,755	212,478,286	3,779,618,469	1,995,195,125	1,858,709,236	136,485,889	163,926,789	46,895,778	117,031,011	0
98	92	RJ OBRIEN ASSOCIATES LLC	FCM	CME	6/30/2012	191,540,198	133,484,584	58,055,614	3,662,183,020	3,567,239,416	94,943,604	215,494,540	179,083,926	36,410,614	1,990,913
99	93	ROSENTHAL COLLINS GROUP LLC	FCM	CME	6/30/2012	90,131,340	68,032,488	22,098,852	1,580,250,740	1,559,055,712	21,195,028	123,722,931	113,768,233	9,954,698	0
100	94	SANFORD C BERNSTEIN & CO LLC	FCM BD	NFA	6/30/2012	154,910,796	16,990,471	137,920,325	0	0	0	0	0	0	0
101	95	SANTANDER INVESTMENT SECURITIES INC	FCM BD	NYME	6/30/2012	169,516,414	9,962,816	159,553,598	502,857,768	500,405,669	2,452,099	0	0	0	0
102	96	STATE STREET GLOBAL MARKETS LLC	FCM BD	CME	6/30/2012	453,405,518	5,996,857	447,408,661	287,537,809	95,525,786	192,012,023	57,412,860	17,843,916	39,568,944	0
103	97	STEPHENS INC	FCM BD	NFA	6/30/2012	83,974,947	13,741,583	70,233,364	0	0	0	0	0	0	0
104	98	STRAITS FINANCIAL LLC	FCM	CME	6/30/2012	9,682,659	1,884,437	7,798,222	56,739,026	54,131,020	2,608,006	9,250,969	7,535,451	1,715,518	0
105	99	TD AMERITRADE INC	FCM BD	NFA	6/30/2012	218,174,050	5,590,233	212,583,817	86,270,191	56,899,923	29,370,268	0	0	0	0
106	100	TENCO INC	FCM	CBOT	6/30/2012	56,003,323	9,712,325	46,290,998	236,876,709	222,664,990	14,211,719	390,149	0	390,149	0
107	101	TIMBER HILL LLC	FCM BD	CME	6/30/2012	713,749,776	33,727,206	680,022,570	504,964,590	502,439,030	2,525,560	0	0	0	0
108	102	TRADELINK LLC	FCM BD	CBOT	6/30/2012	15,157,847	1,000,000	14,157,847	0	0	0	0	0	0	0
109	103	TRADESTATION SECURITIES INC	FCM BD	NFA	6/30/2012	50,626,466	2,611,778	48,014,688	425,229,910	414,903,261	10,326,649	13,590,846	12,537,363	1,053,483	0
110	104	TRADITION SECURITIES AND FUTURES INC	FCM	NFA	6/30/2012	1,514,777	1,000,000	514,777	0	0	0	0	0	0	0
111	105	UBS FINANCIAL SERVICES INC	FCM BD	NFA	6/29/2012	1,176,521,601	107,215,429	1,069,306,172	155,002,776	114,764,541	40,238,235	25,754,983	2,965,904	22,789,079	0
112	106	UBS SECURITIES LLC	FCM BD	CBOT	6/30/2012	12,702,785,359	886,937,992	11,815,847,367	9,734,934,786	9,160,466,860	574,467,926	4,567,336,609	4,164,871,250	402,465,359	0
113	107	VELOCITY FUTURES LLC	FCM	NFA	6/30/2012	1,623,646	1,000,000	623,646	60,092,123	58,871,800	1,220,323	13,436,312	12,955,262	481,050	0
114	108	VISION FINANCIAL MARKETS LLC	FCM BD	CME	6/30/2012	24,036,632	11,270,300	12,766,332	498,029,422	476,228,682	21,800,740	9,891,638	7,605,552	2,286,086	0
115	109	WELLS FARGO ADVISORS FINANCIAL NETWORK LLC	FCM BD	NFA	6/30/2012	35,328,090	1,000,000	34,328,090	0	0	0	0	0	0	0
116	110	WELLS FARGO ADVISORS LLC	FCM BD	NFA	6/30/2012	1,191,931,698	1,500,000	1,190,431,698	0	0	0	0	0	0	0
117	111	WELLS FARGO SECURITIES LLC	FCM BD	CME	6/30/2012	2,240,459,428	15,349,886	2,225,109,542	0	0	0	0	0	0	0
118	112	WHITE COMMERCIAL CORPORATION	FCM	NFA	6/30/2012	2,543,586	1,000,000	1,543,586	0	0	0	0	0	0	0

SELECTED FCM FINANCIAL DATA AS OF
 June 30, 2012
 FROM REPORTS FILED BY
 August 1, 2012

	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	
1			Reg As	DSRO	A/O	Adjusted	Net Capital	Excess	Customers'	Customers'	Excess/Deficient	Funds in Separate	Customer	Excess/Deficient	Total Amount	
2		Futures Commission Merchant / Retail Foreign Exchange Dealer			Date	Net Capital	Requirement	Net Capital	Assets	Seg Required	Funds in Seg	Section 30.7	Amount Pt. 30	Funds in Separate	Total Amount	
3								in Seg	4d(a)(2)		Accounts	Required	Section 30.7	Accounts	Obligation	
4				(a)	(b)				(c)	(d)	(e)	(f)	(g)	(h)	(i)	(j)
5																
6																
139		(a): FCM: Futures Commission Merchant that is registered with the Commodity Futures Trading Commission;														
140		BD: The FCM is also registered with the Securities and Exchange Commission as a securities broker or dealer;														
141		RFED: Retail Foreign Exchange Dealer that is registered with the Commodity Futures Trading Commission;														
142		FCMRFD: The FCM is also registered with the Commodity Futures Trading Commission as a Retail Foreign Exchange Dealer.														
143																
144		(b): DSRO: Designated Self-Regulatory Organization.														
145																
146		(c): A firm's net capital requirement is the greater of:														
147																
148		• FCM minimum dollar amount (\$1,000,000); or														
149		• risk based capital requirement, the sum of 8% of total customer risk maintenance margin and 8% of total non-customer risk maintenance margin; or														
150		• the amount of capital required by a registered futures association (currently NFA is the only such association); or														
151		• for securities brokers and dealers, the amount of net capital required by Rule 15c3-1(a) of the Securities and Exchange Commission; or														
152		• minimum dollar amount for FCM's offering or engaged in retail forex transactions and RFEDs (\$20,000,000); or														
153		• minimum dollar amount for FCM's offering or engaged in retail forex transactions and RFEDs (\$20,000,000) plus five percent of the FCM's or RFED's total retail forex obligation in excess of \$10,000,000.														
154																
155		(d): Excess net capital is adjusted net capital, less the firm's net capital requirement.														
156																
157		(e): This represents the total amount of money, securities, and property held in segregated accounts for futures and options customers in compliance with Section 4d of the Commodity Exchange Act.														
158																
159		(f): This represents the total amount of funds that an FCM is required to segregate on behalf of customers who are trading on a designated contract market or derivatives transaction execution facility. This is the sum of all accounts that contain a net liquidating equity.														
160																
161		(g): Excess/Deficient funds in segregation is customer assets in segregation, less the customer segregation requirement.														
162																
163		(h): This represents the total amount of money, securities, and property held in secured accounts for futures and options customers who trade on commodity exchanges located outside the United States in compliance with Part 30 of the Commodity Exchange Act.														
164																
165		(i): This represents the amount of funds an FCM is required to set aside for customers who trade on commodity exchanges located outside of the United States.														
166																
167		(j): Excess/Deficient funds in separate Section 30.7 accounts is funds in separate Section 30.7 accounts, less the customer amount Part 30 requirement.														
168																
169		(k): This represents the total amount of funds at an FCM, RFED, or FCMRFD that would be obtained by combining all money, securities and property deposited by a retail forex customer into a retail forex account or accounts, adjusted for the realized and unrealized net profit or loss.														