SELECTED FCM FINANCIAL DATA AS OF May 31, 2017 FROM REPORTS FILED BY June 26, 2017

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	-	-			·	-		·	Customore' For			Funds in	Customer	Excess/Deficient	Target Residual	Funds in	Customer	Excess/Deficient	Target Residual	Total Amount of
	Futures Commission Merchant /	Registered	DSRO	As of	Adjusted Net	Net Capital	Excess Net	Customers'	Customers' Seg Required	Excess/Deficient	Target Residual	Separate Section	Amount Pt. 30	Funds in	Interest in	Separate Cleared	Amount Cleared	Funds in Cleared	Interest in	Retail Forex
1	Retail Foreign Exchange Dealer	As		Date	Capital	Requirement	Capital	Assets in Seg	4d(a)(2)	Funds in Seg	Interest in Seg	30.7 Accounts	Required	Separate Section	Section 30.7	Swap	Swap Seg	Swap Seg	Cleared Swap	Obligation
2		(a)	(b)			(c)	(d)	(e)	(f)	(g)	(h)	(i)	(j)	30.7 Accounts (k)	(I)	Segregation (m)	Required (n)	Accounts (o)	(p)	(q)
3			` `														` '	` ` `	***	3.1/
5	1 ABN AMRO CLEARING CHICAGO LLC	FCM BD	CBOT	-,-,-	610,352,306	165,032,270	445,320,036	2,952,944,065	2,631,941,887	321,002,178	160,985,903	143,156,442	118,398,325	24,758,117	11,839,832	0	0		0	0
6	2 ADM INVESTOR SERVICES INC 3 ADVANTAGE FUTURES LLC	FCM FCM	CBOT	5/31/2017 5/31/2017	314,158,801 26,815,349	191,935,641 10,297,986	122,223,160 16,517,363	4,681,099,372 478,665,754	4,448,059,686 463,935,149	233,039,686 14,730,605	120,000,000 9,500,000	419,885,714 31,658,351	362,110,961 29,948,546	57,774,753 1,709,805	20,000,000 1,000,000	12,136,945	3,009,585	9,127,360	8,000,000	0
7	4 AMP GLOBAL CLEARING LLC	FCM		5/31/2017	2,765,993	1,500,000	1,265,993	54,366,342	51,327,129	3,039,213	900,000	2,073,735	1,734,667		150,000	0	0	0	0	
8	5 APERTURE LLC	FCM BD	NFA	5/31/2017	33,205,906	2,258,748	30,947,158	39,965,693	36,612,537	3,353,156	1,600,000	0	0	0	0	0	0	0	0	0
	6 APEX CLEARING CORPORATION	FCM BD	NFA	5/31/2017	129,417,821	22,949,604	106,468,217	0	0	0	0	0	0	0	0	0	0	0	0	
	7 BARCLAYS CAPITAL INC	FCM BD	NYME	5/31/2017	6,667,481,661	1,231,395,607	5,436,086,054	6,015,396,699	5,409,244,707	606,151,992	297,508,459	4,252,562,417	3,862,184,792	390,377,625	193,881,677	6,129,728,865	5,372,755,267	756,973,598	213,298,384	0
	8 BGC FINANCIAL LP 9 BNP PARIBAS PRIME BROKERAGE INC	FCM BD FCM BD	NFA NYME	5/31/2017 5/31/2017	38,744,956 1,478,075,982	2,459,540 178,753,339	36,285,416 1,299,322,643	2,262,254,358	2.073.521.807	188,732,551	150,000,000	40,297,646	30.694.279	9,603,367	7,500,000	19,497,975	9,842,447	9,655,528	7,500,000	0
13	10 BNP PARIBAS SECURITIES CORP	FCM BD	CBOT	5/31/2017	1,575,986,285	238,206,298	1,337,779,987	1,148,643,078	1,044,906,640	103,736,438	82,500,000	82,229,184	53,573,500		22,500,000	961,370,796	810,979,012		120,000,000	0
14	11 BOCI COMMODITIES & FUTURES USA LLC	FCM	CME	5/31/2017	8,721,215	3,777,875	4,943,340	70,882,767	67,162,819	3,719,948	1,000,000	6	0	6	1	6	0	6	1	0
15	12 CANTOR FITZGERALD & CO	FCM BD	CBOT	5/31/2017	239,354,116	7,546,578	231,807,538	4,969,921	0	4,969,921	3,000,000	0	0	0	0	0	0	0	0	0
	13 CHS HEDGING LLC 14 CITIGROUP GLOBAL MARKETS INC	FCM BD SD	CBOT/NFA	5/31/2017	39,822,555 10,095,314,560	7,597,249 2,008,064,037	32,225,306 8,087,250,523	170,192,932 8,282,621,651	111,520,921 7,850,536,339	58,672,011 432,085,312	13,100,000 311,100,000	1,673,937 1,813,142,384	146,552 1,598,384,376	1,527,385 214,758,008	545,000 150,000,000	503,912 17,873,921,433	17,478,795,243		310,000 319,075,000	0
18	15 CREDIT SUISSE SECURITIES (USA) LLC	FCM BD	CBOT	5/31/2017	9,377,164,991	1,592,267,286	7,784,897,705	6,413,909,337	6,014,715,354	399,193,983	300,735,768	3,598,846,066	3,393,427,813	205,418,253	169,671,391		12,766,753,929		638,337,697	0
19	16 CUNNINGHAM COMMODITIES LLC	FCM	CBOT		5,148,724	1,147,982	4,000,742	67,129,252	63,133,773	3,995,479	3,050,000	166,907	6,389	160,518	50,000	0	0	0	0	0
20	17 DAIWA CAPITAL MARKETS AMERICA INC	FCM BD	CME	5/31/2017	631,926,489	9,096,366	622,830,123	2,169,461	0	2,169,461	1	562,533	0	562,533	1	0	0	0	0	0
21	18 DEUTSCHE BANK SECURITIES INC 19 DORMAN TRADING LLC	FCM BD FCM	CBOT CME	5/31/2017	12,942,656,177	642,997,737	12,299,658,440	3,138,234,949 178,840,669	2,933,075,277	205,159,672	125,000,000 2,000,000	758,284,361 3,976,934	635,313,594		75,000,000	364,064,606	217,770,221	146,294,385	100,000,000	0
23	20 E D & F MAN CAPITAL MARKETS INC	FCM BD	CME	5/31/2017 5/31/2017	11,146,814 131,951,232	2,422,359 56,339,054	8,724,455 75,612,178	1,489,482,516	174,663,935 1,415,177,697	4,176,734 74,304,819	35,000,000	46,455,597	3,269,369 38,480,861	707,565 7,974,736	200,000 5,000,000	2,923,276	1,779,396	1,143,880	500,000	0
	21 E TRADE SECURITIES LLC	FCM BD	NFA	5/31/2017	1,027,615,604	176,732,769	850,882,835	72,742,994	54,255,933	18,487,061	10,000,000	1,000,000		1,000,000	1,000,000	2,323,270	1,779,390		0	
	22 EFL FUTURES LIMITED	FCM		5/31/2017	40,504,291	14,281,955	26,222,336	194,535,085	180,728,958	13,806,127	5,000,000	0	0	0	0	0	0	· -	0	
26	23 GAIN CAPITAL GROUP LLC	FCMRFD		5/31/2017	43,786,404	33,991,926	9,794,478	218,488,964	206,242,593	12,246,371	10,000,000	4,768,757			1,000,000	0	0		0	261,685,887
	24 GH FINANCIALS LLC	FCM PD CD	CPOT/NEA	5/31/2017	21,908,011	5,632,495	16,275,516	90,810,293	78,537,858	12,272,435	6,000,000	2,938,486	1,852,357	1,086,129	550,000	4 620 052 012	4 101 205 422		350,000,000	0
_	25 GOLDMAN SACHS & CO LLC 26 HSBC SECURITIES USA INC	FCM BD SD FCM BD		5/31/2017 5/31/2017	17,290,141,311 1,034,724,927	2,507,949,403 245,542,757	14,782,191,908 789,182,170	22,384,007,619 1,333,704,469	21,526,381,715 1,248,080,543	857,625,904 85,623,926	675,000,000 70,000,000	12,673,475,940 197,161,760	12,097,809,615 155,270,085	575,666,325 41,891,675	475,000,000 25,000,000	4,629,953,912 1,316,209,694			350,000,000 120,000,000	0
	27 INTERACTIVE BROKERS LLC	FCM BD	CME	5/31/2017	3,663,072,245	349,039,445	3,314,032,800	4,030,787,203	3,828,649,444	202,137,759	155,000,000	465,425,108	340,383,185	125,041,923	80,000,000	1,510,203,034	1,101,077,131		0	
	28 INTL FCSTONE FINANCIAL INC	FCM BD		5/31/2017	142,467,143	80,376,426	62,090,717	2,052,880,017	2,002,232,901	50,647,116	35,000,000	149,684,043	133,230,770	16,453,273	8,000,000	0	0	0	0	
	29 IRONBEAM INC	FCM		5/31/2017	3,693,296	1,310,801	2,382,495	76,820,952	75,407,367	1,413,585	800,000	661,323	401,553	259,770	50,000	0	0	0	0	0
	30 JEFFERIES LLC	FCM BD	NFA CET/NEA	5/31/2017	1,388,387,531	86,353,606	1,302,033,925	10.034.000.500	17 227 072 727	1 407 624 022	0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0	0	4 501 001 000	406 700 100	200.466.767	12 700 000 707	11 722 772 150	076 100 620	722 200 200	0
34 .	31 JP MORGAN SECURITIES LLC 32 MACQUARIE FUTURES USA LLC	FCM BD SD FCM		5/31/2017 5/31/2017	13,418,533,925 280,179,946	2,912,492,016 148,846,574	10,506,041,909 131,333,372	18,834,698,569 2,088,891,530	17,337,073,737 1,875,984,209	1,497,624,832 212,907,321	953,539,056 100,000,000	5,078,662,154 53,356,546	4,581,961,966 34,020,047	496,700,188 19,336,499	389,466,767 7,000,000	12,708,880,797 27.629,796	11,732,772,158 8,859,405		733,298,260 1,000,000	0
36	33 MAREX NORTH AMERICA LLC	FCM		5/31/2017	26,662,995	15,644,188	11,018,807	203,088,804	199,620,186	3,468,618	2,800,000	54,735,192	53,582,030	1,153,162	500,000	0	0,033,103	-, -,	0	0
	34 MCVEAN TRADING & INVESTMENTS LLC	FCM		5/31/2017	13,455,499	2,212,691	11,242,808	737,534,063	715,956,981	21,577,082	18,000,000	2,417,861	0	2,417,861	1,000,000	0	0	0	0	0
	35 MERRILL LYNCH PIERCE FENNER & SMITH INCORPORATED	FCM BD	CBOT	5/31/2017	12,507,943,115	1,748,909,519	10,759,033,596	14,256,144,706	13,938,372,101	317,772,605	200,000,000	4,268,377,542	3,948,621,191	319,756,351	150,000,000	5,908,269,375	5,568,338,317	339,931,058	150,000,000	0
	36 MERRILL LYNCH PROFESSIONAL CLEARING CORP	FCM BD	NFA NFA	5/31/2017	3,292,413,229	577,337,615	2,715,075,614	1,962,290,479	1,274,833,648	687,456,831	300,000,000	0	0	0	0	0	0	0	0	0
	37 MID CO COMMODITIES INC 38 MINT BROKERS	FCM FCM BD	NFA	5/31/2017 5/31/2017	8,446,026 5,001,302	2,348,290 1,000,000	6,097,736 4,001,302	30,050,668	21,198,250	8,852,418 0	5,138,000	0	0	0	0	0	0	0	0	0
42	39 MIZUHO SECURITIES USA LLC	FCM BD SD			639,045,336	225,854,483	413,190,853	2,790,570,534	2,588,598,272	201,972,262	125,000,000	702,134,305	576,376,963	125,757,342	70,000,000	38,747,558	6,903,720		15,000,000	0
43 4	40 MORGAN STANLEY & CO LLC	FCM BD SD		5/31/2017	10,140,011,544	2,153,772,188	7,986,239,356	15,251,548,657	14,980,607,411	270,941,246	210,000,000	4,574,939,150			160,000,000		13,067,357,531		92,000,000	0
	41 MUFG SECURITIES AMERICAS INC	FCM BD	NFA	5/31/2017	346,348,188	1,420,183	344,928,005	0	0	0	0	0	0	0	0	0	0	0	0	0
	42 NANHUA USA LLC 43 NOMURA SECURITIES INTERNATIONAL INC	FCM FCM BD	CME	5/31/2017 5/31/2017	18,186,631	4,088,132 82,146,405	14,098,499 2,197,584,499	74,484,500 284,858,660	61,621,429 182,025,712	12,863,071 102,832,948	3,500,000 15,000,000	17,589,902	24,479	17,565,423	1,000,000	0	0	0	0	0
47	14 OANDA CORPORATION	FCMRFD	NFA	5/31/2017	2,279,730,904 79,283,187	53,092,070	26,191,117	0	0	0	15,000,000	0	0	0	0	0	ő	0	0	172,616,658
48 4	45 OPTIONSXPRESS INC	FCM BD		5/31/2017	288,428,664	7,099,074	281,329,590	121,610,182	79,291,793	42,318,389	11,893,769	2,370,405	878,617	1,491,788	131,793	0	0	0	0	0
	46 PHILLIP CAPITAL INC 47 PICTET OVERSEAS INC	FCM BD FCM BD		5/31/2017 5/31/2017	32,344,301 18,403,523	13,433,082 1,000,000	18,911,219 17,403,523	190,449,185	176,146,273	14,302,912	8,807,314	40,594,105	36,604,655	3,989,450	1,830,233	0	0	0	0	0
51	48 RAND FINANCIAL SERVICES INC	FCM		5/31/2017	84,688,006	20,359,210	64,328,796	224,044,000	181,365,900	42,678,100	5,441,000	51,229,600	27,640,700	23,588,900	829,200	0	0	0	0	0
52 4	49 RBC CAPITAL MARKETS LLC	FCM BD	CME	5/31/2017	1,936,353,354	247,176,384	1,689,176,970	2,842,279,828	2,580,127,319	262,152,509	200,000,000	291,101,838	249,374,874	41,726,964	20,000,000	861,041,935	602,924,726		80,000,000	0
	50 RBS SECURITIES INC	FCM BD		5/31/2017	635,849,988	50,002,009	585,847,979	880,446,642	679,505,751	200,940,891	125,000,000	177,601,952	50,909,656	126,692,296	100,000,000	0	0	0	0	-
	51 RJ OBRIEN ASSOCIATES LLC 52 ROSENTHAL COLLINS GROUP LLC	FCM SD FCM		5/31/2017 5/31/2017	219,264,400 75,799,563	155,706,364 48,077,507	63,558,036 27,722,056	3,928,620,155 1,183,390,086	3,835,210,155 1,157,075,044	93,410,000 26,315,042	50,000,000 18,000,000	269,236,646 44,172,463	243,594,038 32,610,715	25,642,608 11,561,748	20,000,000 8,000,000	0	0	0	0	
56	53 SANTANDER INVESTMENT SECURITIES INC	FCM BD		5/31/2017	160,669,061	20,562,458	140,106,603	718,905,265	708,399,844	10,505,421	8,000,000	77,1/2, 1 03	32,010,715 N	11,301,748	8,000,000	0	n	0	0	-
57	54 SCOTIA CAPITAL USA INC	FCM BD	CME	5/31/2017	700,337,980	48,655,259	651,682,721	0	0	0	0	0	0	0	0	0	0	0	0	0
58	55 SG AMERICAS SECURITIES LLC	FCM BD	CME	5/31/2017	4,053,488,529	1,232,083,367	2,821,405,162	14,110,040,227	13,217,352,824	892,687,403	500,000,000			579,730,354	255,000,000	620,055,509	366,315,011	253,740,498	75,000,000	0
40	56 STRAITS FINANCIAL LLC 57 TD AMERITRADE FUTURES & FOREX LLC	FCM FCM	CME NFA	5/31/2017 5/31/2017	21,235,933 70,055,914	7,771,573 22,539,435	13,464,360 47,516,479	223,935,734	210,364,458 237,561,510	13,571,276 53,180,513	2,000,000 25,000,000	31,165,531	25,156,475	6,009,056	300,000	0	0	0	0	60,788,701
61	58 TRADESTATION SECURITIES INC	FCM BD	CME	5/31/2017	79,339,731	3,730,199	75,609,532	290,742,023 546,057,329	534,447,061	11,610,268	9,000,000	25,252,526	23,488,592	1,763,934	800,000	0	0	0	0	00,788,701
62	97 ID AMERI INACE POINTS OF TONCE LEC SB TRADESTATION SECURITIES INC 59 UBS FINANCIAL SERVICES INC 50 UBS SECURITIES LLC 51 UOBBF CLEARING LIMITED	FCM BD	NFA	5/31/2017	1,338,226,072	128,346,729	1,209,879,343	128,791,331	95,231,336	33,559,995	22,000,000	37,648,733	10,313,281	27,335,452	15,000,000	0	0	Ö	0	0
63 (50 UBS SECURITIES LLC	FCM BD		5/31/2017	5,447,775,776	869,701,090	4,578,074,686	7,369,430,964	6,865,764,087	503,666,877	425,000,000	4,378,424,052	4,002,530,855	375,893,197	300,000,000	964,593,401	690,237,567	274,355,834	200,000,000	0
65 (51 UOBBF CLEARING LIMITED 52 WEDBUSH SECURITIES INC	FCM FCM BD	CME	5/31/2017 5/31/2017	26,048,105 169,345,259	4,795,313 30,793,367	21,252,792 138,551,892	100,252,215 1,440,530,784	76,450,055 1,422,400,743	23,802,160 18,130,041	2,000,000 13,000,000	23,661,114	21,009,532	2,651,582	1,000,000	0	0	0	0	0
66	63 WELLS FARGO SECURITIES LLC	FCM BD	CME	5/31/2017	8,381,288,704	866,611,216	7,514,677,488	4,057,480,482	3,704,055,786	353,424,696	225,000,000	362,858,413	298,650,347	64,208,066	20,000,000	7,406,143,969	6,694,208,688	711,935,281	575,000,000	0
67 (54 YORK BUSINESS ASSOCIATES LLC	FCM	NFA	5/31/2017	4,756,068	1,000,000	3,756,068	43,193,906	38,904,833	4,289,073	2,000,000	2,182,753	1,713,198	469,555	200,000	0	0	0	0	0
68	Totals		1	+ -				162.820 907 920	152,965 599 677	9,855 308 242	6.168 899 270	50.051 910 329	45,736 003 600	4,315,906,639	2.769 995 995	86.507 275 866	80.681 884 796	5,825,391,080	3,798,319,342	533,886,026
70	1.5500							_32,020,307,320	_32,303,333,077	2,033,300,243	0,100,033,270	30,031,310,323	+3,733,003,090	4,313,300,039	2,,00,,990,090	50,507,275,000	, 50,001,004,780	5,023,391,080	3,, 30,313,342	333,000,020
71	April Web Page Update	64																		
72 73		_																		
74	Additions None	0	+	+ -							-		 	-						
75																				
76		0																		
77	None																	1		
78 79	Name Changes		1	+							-			-				1		
80	Goldman Sachs & Co to Goldman Sachs & Co LLC																			
81																				
82 83	May Web Page Update	64																		
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Futures Commission Merchant that is registered with the Commodity Futures Trading Commission BD: The FCM is also registered with the Securities and Exchange Commission as a securities broker or dealer. RFED: Retail Foreign Exchange Dealer that is registered with the Commodity Futures Trading Commission. 88 FCMRFD: The FCM is also registered with the Commodity Futures Trading Commission as a Retail Foreign Exchange Dealer. SD: The FCM or RFED is also registered with the Commodity Futures Trading Commission as a provisionally registered swap dealer. (b): DSRO: Designated Self-Regulatory Organization. FCM/RFED firms that are dually registered as SDs have two DSROs listed if they have a different DSRO for the FCM/RFED and the SD. The DSRO for the FCM/RFED is listed first and the DSRO for the SD is listed second. (c): A firm's net capital requirement is the greater of: FCM minimum dollar amount (\$1,000,000); or • risk based capital requirement, the sum of 8% of total customer risk maintenance margin and 8% of total non-customer risk maintenance margin; or the amount of capital required by a registered futures association (currently NFA is the only such association); or • for securities brokers and dealers, the amount of net capital required by Rule 15c3-1(a) of the Securities and Exchange Commission; or minimum dollar amount for FCM's offering or engaged in retail forex transactions and RFEDs (\$20,000,000); or
 minimum dollar amount for FCM's offering or engaged in retail forex transactions and RFEDs (\$20,000,000) plus five percent of the FCM's or RFED's total retail forex obligation in excess of \$10,000,000. (d): Excess net capital is adjusted net capital, less the firm's net capital requirement. (e): This represents the total amount of money, securities, and property held in segregated accounts for futures and options customers in compliance with Section 4d of the Commodity Exchange Act. (f): This represents the total amount of funds that an FCM is required to segregate on behalf of customers who are trading on a designated contract market or derivatives transaction execution facility. This is the sum of all accounts that contain a net liquidating equity. (a): Excess/Deficient funds in segregation is customer assets in segregation, less the customer segregation requirement. (h): This represents the targeted excess of proprietary funds deposited into customer segregated accounts above the customer segregation requirement. This target amount is set by the firm. (ii): This represents the total amount of money, securities, and property held in secured accounts for futures and options customers who trade on commodity exchanges located outside the United States in compliance with Part 30 of the Commodity Exchange Act. (f): This represents the amount of funds an FCM is required to set aside for customers who trade on commodity exchanges located outside of the United States. (k): Excess/Deficient funds in separate Section 30.7 accounts is funds in separate Section 30.7 accounts, less the customer amount Part 30 requirement. (I): This represents the targeted excess of proprietary funds deposited into separate Section 30.7 accounts above the customer amount Part 30 requirement. This target amount is set by the firm. (m): This represents the total amount of money, securities, and property held in cleared swap customer accounts for cleared swap customers in compliance with Section 4d(f) of the Commodity Exchange Act. (n): This represents the amount of funds an FCM is required to segregate for customers who trade cleared swaps. (o): Excess/Deficient funds in cleared swap customer accounts is funds in separate cleared swap segregation accounts, less the cleared swap customer segregation requirement.

126 (p): This represents the targeted excess of proprietary funds deposited into separate cleared swap segregation accounts above the cleared swap customer segregation requirement. This target amount is set by the firm.

(g): This represents the total amount of funds at an FCM, RFED, or FCMRFD that would be obtained by combining all money, securities and property deposited by a retail forex customer into a retail forex account or accounts, adjusted for the realized and unrealized net profit or loss.